

11-21-2018

## Photospheric Radius Evolution of Homologous Explosions

Liang-Duan Liu

*Nanjing University; University of Nevada, Las Vegas*

Bing Zhang

*University of Nevada, Las Vegas, bing.zhang@unlv.edu*

Ling-Jun Wang

*Chinese Academy of Sciences*

Zi-Gao Dai

*Nanjing University*

Follow this and additional works at: [https://digitalscholarship.unlv.edu/physastr\\_fac\\_articles](https://digitalscholarship.unlv.edu/physastr_fac_articles)



Part of the [Astrophysics and Astronomy Commons](#)

---

### Repository Citation

Liu, L., Zhang, B., Wang, L., Dai, Z. (2018). Photospheric Radius Evolution of Homologous Explosions. *Astrophysical Journal Letters*, 868(2), 1-7.

<http://dx.doi.org/10.3847/2041-8213/aaeff6>

This Article is protected by copyright and/or related rights. It has been brought to you by Digital Scholarship@UNLV with permission from the rights-holder(s). You are free to use this Article in any way that is permitted by the copyright and related rights legislation that applies to your use. For other uses you need to obtain permission from the rights-holder(s) directly, unless additional rights are indicated by a Creative Commons license in the record and/or on the work itself.

This Article has been accepted for inclusion in Physics & Astronomy Faculty Publications by an authorized administrator of Digital Scholarship@UNLV. For more information, please contact [digitalscholarship@unlv.edu](mailto:digitalscholarship@unlv.edu).



# Photospheric Radius Evolution of Homologous Explosions

Liang-Duan Liu<sup>1,2,3</sup>, Bing Zhang<sup>3</sup>, Ling-Jun Wang<sup>4</sup>, and Zi-Gao Dai<sup>1,2</sup>

<sup>1</sup> School of Astronomy and Space Science, Nanjing University, Nanjing 210093, People's Republic of China; [dzg@nju.edu.cn](mailto:dzg@nju.edu.cn)

<sup>2</sup> Key Laboratory of Modern Astronomy and Astrophysics (Nanjing University), Ministry of Education, People's Republic of China

<sup>3</sup> Department of Physics and Astronomy, University of Nevada, Las Vegas, NV 89154, USA; [zhang@physics.unlv.edu](mailto:zhang@physics.unlv.edu)

<sup>4</sup> Astroparticle Physics, Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100049, People's Republic of China

Received 2018 September 13; revised 2018 October 25; accepted 2018 November 9; published 2018 November 21

## Abstract

Recent wide-field surveys discovered new types of peculiar optical transients that showed diverse behaviors of the evolution of photospheric properties. We develop a general theory of homologous explosions with constant opacity, paying special attention to the evolution of the photospheric radius  $R_{\text{ph}}$ . We find that regardless of the density distribution profile,  $R_{\text{ph}}$  always increases early on and decreases at late times. This result does not depend on the radiation and cooling processes inside the ejecta. The general rising/falling behavior of  $R_{\text{ph}}$  can be used to quickly diagnose whether the source originates from a supernova-like explosion. The shape of the  $R_{\text{ph}}$  evolution curve depends on the density profile, so the observations may be used to directly diagnose the density profile as well as the temperature profile of the ejecta. All of the well-monitored supernovae show such a  $R_{\text{ph}}$  rising/falling behavior, which is consistent with our theory. The recently discovered peculiar transient AT 2018cow showed a continuous decay of  $R_{\text{ph}}$ , for which a supernova-like explosion origin is disfavored. Our result therefore supports the interpretation of this transient as a tidal disruption event.

*Key words:* opacity – supernovae: general

## 1. Introduction

The rapid development of several wide-field optical surveys (e.g., the intermediate Palomar Transient Factory (iPTF),<sup>5</sup> the All-Sky Automated Survey for Supernovae (ASASSN),<sup>6</sup> the Panoramic Survey Telescope & Rapid Response System (Pan-STARRS),<sup>7</sup> and the Dark Energy Survey (DES)<sup>8</sup>) is revolutionizing the field of time-domain transient astrophysics. In addition to known objects (e.g., supernovae (SNe) and tidal disruption events (TDEs)) with extreme properties (e.g., ASASSN-15lh, Dong et al. 2016; and iPTF14hls, Arcavi et al. 2017), these observations have also discovered several peculiar, rapidly evolving, luminous transients whose nature is not properly understood (Drout et al. 2014; Arcavi et al. 2016; Whitesides et al. 2017). One example is AT 2018cow, which showed a very rapid rise of the lightcurve, and a steady decay of the photospheric radius  $R_{\text{ph}}$  (Kuin et al. 2018; Perley et al. 2018; Prentice et al. 2018). Such behavior has never been observed before in a supernova. Possible interpretations range from special types of explosions to special types of TDEs, but no definite conclusion has been drawn.

Here we develop a simple theory of the evolution of the photospheric radius,  $R_{\text{ph}}$ , of a generic explosion, which is homologous (each layer expanding with a constant velocity) but could have arbitrary density profile, heating/cooling structure, and hence, arbitrary temperature profile. Assuming a constant opacity, we derive a generic behavior of the  $R_{\text{ph}}$  evolution of such explosions. Section 2 presents the general theory. Section 3 presents several specific density profile examples. The results are summarized in Section 4, with some discussions on its application to AT 2018cow and other transients.

## 2. A General Theory of Photospheric Radius Evolution

Observationally, the photospheric radius at a particular time can be derived by  $R_{\text{ph}}(t) = [L_{\text{bol}}(t)/4\pi\sigma T_{\text{eff}}^4(t)]^{1/2}$ , where the bolometric luminosity  $L_{\text{bol}}(t)$  can be derived from the multi-color photometry at each epoch  $t$ , and the effective temperature  $T_{\text{eff}}(t)$  can be inferred by fitting the spectra at the same epoch. From the theoretical model, the photospheric radius evolution depends on the dynamical evolution and the density profile of the ejecta, but is independent of the cooling and heating processes.<sup>9</sup> In the literature (Arnett 1982), the photospheric radius is often described as

$$R_{\text{ph}}(t) = R(t) - \frac{2}{3}\lambda(t), \quad (1)$$

for an ejecta with a uniform, time-dependent density  $\rho(t)$ , where  $\lambda(t) = 1/\rho(t)\kappa$  is the mean free path of the photons, and  $\kappa$  is the opacity. In reality, the density profile of an explosion is not uniform. Different types of density profiles will modify Equation (1) to much more complicated forms.

In order to simplify the problem to a tractable form, we make several assumptions in the following. First, the supernova ejecta is homologously expanding and spherically symmetric. Second, Thomson scattering dominates the opacity so that the opacity  $\kappa$  is a constant throughout the evolution. Third, we assume that the emission from the ejecta layers above the photosphere in the nebula phase does not outshine the emission from the photosphere itself. Introducing more complicated scenarios would introduce more qualitative differences (see

<sup>9</sup> This statement is strictly correct for photospheric emission. In practice, however,  $R_{\text{ph}}$  is determined by the “observed”  $L_{\text{bol}}$  and  $T_{\text{eff}}$ , which may be dominated by the contribution of emission outside of the photospheric radius (i.e., the ejecta layers already in the so-called “nebula” phase) during the late phase of a supernova explosion. In such cases, the effective  $R_{\text{ph}}$  derived from the data does depend on the heating process in the ejecta.

<sup>5</sup> <https://www.ptf.caltech.edu/iptf>

<sup>6</sup> <http://www.astronomy.ohio-state.edu/~assassin/index.shtml>

<sup>7</sup> <https://panstarrs.stsci.edu>

<sup>8</sup> <https://www.darkenergysurvey.org>

discussion in Section 4), but the general features discussed in this Letter may not alter substantially.

For an energetic explosion such as a supernova, the ejecta would enter a homologous expansion phase after a few times of the expansion timescale  $R_p/v$ , where  $R_p$  is the radius of the progenitor and  $v$  is the mean expansion velocity of the ejecta. For a homologous expansion of the ejecta with a velocity gradient, fast ejecta layers propagate in front and slow ejecta layers lag behind. The inner boundary of the ejecta is defined by the slowest ejecta, and its radius reads

$$R_{\min}(t) = R_{\min,0} + v_{\min}t, \quad (2)$$

where  $v_{\min}$  is the minimum velocity of the ejecta and  $R_{\min,0}$  is the initial radius of the innermost radius when the explosion enters the homologous phase. The outer boundary of the ejecta is defined by

$$R(t) = R_0 + v_{\max}t, \quad (3)$$

where  $R_0$  is the initial radius of the outermost radius in the homologous phase, and  $v_{\max}$  is the maximum velocity of the ejecta. The homologous expansion conditions imply  $R_p < R_{\min,0} < R_0$  and  $v_{\min} \ll v_{\max}$ .

We define a comoving, dimensionless radius  $x$  as

$$x \equiv \frac{r - R_{\min}}{R - R_{\min}}, \quad (4)$$

where  $r$  is the radius of a particular layer in the ejecta from the center of explosion, and  $0 \leq x \leq 1$  is satisfied for all of the elements within the ejecta.

For a homologous expansion, the density of the ejecta can be written as

$$\rho(r, t) = \rho(R_0, 0)\eta(x) \left[ \frac{R_0 - R_{\min,0}}{R(t) - R_{\min}(t)} \right]^3, \quad (5)$$

where  $\rho(R_0, 0)$  is the initial density at the outermost radius of the ejecta,  $\eta(x)$  is a function to describe the density profile of the ejecta, and for a uniform density distribution, one has  $\eta(x) = 1$ . The  $[(R_0 - R_{\min,0})/(R(t) - R_{\min}(t))]^3$  scaling describes the homologous expansion of the ejecta.

The total ejecta mass can be derived through integrating over the density profile, i.e.,

$$\begin{aligned} M_{\text{ej}} &= \int_{R_{\min}(t)}^{R(t)} 4\pi r^2 \rho(r, t) dr \\ &= [4\pi\rho(R_0, 0)R_0^3]I_M, \end{aligned} \quad (6)$$

where

$$I_M \equiv \int_0^1 x^2 \eta(x) dx, \quad (7)$$

is a dimensionless factor for ejecta mass that is related to the assumed density profile.

The total kinetic energy with a given density profile can be derived as

$$\begin{aligned} E_K(t) &= \int_{R_{\min}(t)}^{R(t)} \frac{1}{2} \rho v^2 4\pi r^2 dr \\ &= [2\pi\rho(R_0, 0)R_0^3]v_{\max}^2 I_K, \end{aligned} \quad (8)$$

where

$$I_K \equiv \int_0^1 x^4 \eta(x) dx, \quad (9)$$

is a dimensionless factor for kinetic energy that is related to the assumed density profile.

Combining Equations (6) and (8), the velocity of the outermost layer of the ejecta (which is the maximum velocity in the ejecta) is given by

$$v_{\max} = \left( \frac{2E_K I_M}{M_{\text{ej}} I_K} \right)^{1/2}. \quad (10)$$

For a uniform density distribution, one has  $v_{\max} = (10E_K/3M_{\text{ej}})^{1/2}$ . It is worth noting that  $v_{\max}$  is a parameter in our semi-analytic model, which usually cannot be measured directly.

The total optical depth of the ejecta  $\tau_{\text{tot}}$  is

$$\tau_{\text{tot}} = \int_{R_{\min}(t)}^{R(t)} \kappa \rho dr. \quad (11)$$

For a constant opacity  $\kappa$ , one has

$$\tau_{\text{tot}}(t) = \tau_{\text{tot}}(0) \left[ \frac{R_0 - R_{\min,0}}{R(t) - R_{\min}(t)} \right]^2, \quad (12)$$

where  $\tau_{\text{tot}}(0)$  is the initial optical depth, i.e.,

$$\tau_{\text{tot}}(0) = \kappa \rho(R_0, 0) R_0 I_\tau, \quad (13)$$

and

$$I_\tau \equiv \int_0^1 \eta(x) dx$$

is a dimensionless factor for the optical depth that is related to the density profile. The total optical depth  $\tau_{\text{tot}}(t)$  decreases with time following  $t^{-2}$ . When  $\tau_{\text{tot}} = 2/3$  the whole ejecta becomes transparent. We introduce a critical time so that  $\tau_{\text{tot}}(t_\tau) = 2/3$  is satisfied, which reads

$$t_\tau = \left( \frac{R_0 - R_{\min,0}}{v_{\max} - v_{\min}} \right) \left\{ \left[ \frac{3\tau_{\text{tot}}(0)}{2} \right]^{1/2} - 1 \right\}, \quad (14)$$

after  $t_\tau$  the explosion enters the so-called ‘‘nebular’’ phase, when the assumption of blackbody emission becomes invalid.

Based on the Eddington approximation, the relation between the externally observed effective temperature  $T_{\text{eff}}$  and the internal temperature  $T$  at an optical depth  $\tau = 2/3$  is given by (Arnett 1980; Arnett & Fu 1989)

$$T^4 = \frac{3}{4} T_{\text{eff}}^4 \left( \tau + \frac{2}{3} \right). \quad (15)$$

Therefore, the location of the photospheric radius  $R_{\text{ph}}$  is at  $\tau(R_{\text{ph}}) = 2/3$ , which is defined as

$$\int_{R_{\text{ph}}(t)}^{R(t)} \kappa \rho dr = \frac{2}{3}. \quad (16)$$

Using Equations (5) and (12), this condition can be rewritten as

$$\frac{\tau_{\text{tot}}(t)}{I_\tau} I_{\text{ph}}(t) = \frac{2}{3}, \quad (17)$$

where

$$I_{\text{ph}}(t) \equiv \int_{x_{\text{ph}}(t)}^1 \eta(x) dx, \quad (18)$$

and  $x_{\text{ph}} = (R_{\text{ph}} - R_{\text{min}})/(R - R_{\text{min}})$  is a dimensionless parameter of the photosphere radius. As the expansion proceeds,  $x_{\text{ph}}$  decreases with time, which means that the photospheric radius recedes in the comoving coordinate of the ejecta. When  $t = t_{\tau}$ , one has  $x_{\text{ph}} = 0$ , i.e., the photospheric radius reaches the innermost radius of the ejecta, and the photons produced anywhere in the ejecta can escape directly without being scattered.

The photospheric radius is

$$R_{\text{ph}}(t) = [R(t) - R_{\text{min}}(t)]x_{\text{ph}}(t) + R_{\text{min}}(t). \quad (19)$$

The evolution of the photospheric radius depends on the competition between the expansion and the recession of  $x_{\text{ph}}$  in the comoving coordinate of the ejecta.

The time derivative of the photospheric radius reads

$$\begin{aligned} \frac{dR_{\text{ph}}}{dt} &= (v_{\text{max}} - v_{\text{min}})x_{\text{ph}} \\ &+ [R(t) - R_{\text{min}}(t)]\frac{dx_{\text{ph}}}{dt} + v_{\text{min}}. \end{aligned} \quad (20)$$

It is worth noting that  $dR_{\text{ph}}/dt$  is not the so-called photospheric velocity  $v_{\text{ph}}$  as measured by observers based on spectral information, which is the instantaneous velocity of the layer of ejecta that reaches the photosphere radius. In our calculation, we have assumed that the ejecta is homologously expanding, which means the local velocity  $v$  is proportional to the radius  $r$ . Therefore, the photospheric velocity  $v_{\text{ph}}$  is given by

$$\frac{v_{\text{ph}}}{v_{\text{max}}} = \frac{R_{\text{ph}} - R_{\text{min}}}{R - R_{\text{min}}}. \quad (21)$$

Comparing  $v_{\text{ph}}$  with the observational photospheric velocity evolution obtained from absorption spectral features could help us to constrain the velocity profile of the explosion ejecta.

Taking the time derivative of Equation (17), one has

$$\frac{dx_{\text{ph}}}{dt} \frac{d}{dx_{\text{ph}}} \left[ \int_{x_{\text{ph}}}^1 \eta(x) dx \right] = \frac{d}{dt} \left( \frac{2}{3} \frac{I_{\tau}}{\tau_{\text{tot}}} \right). \quad (22)$$

We can then obtain the time derivative of  $x_{\text{ph}}$  as

$$\frac{dx_{\text{ph}}}{dt} = -\frac{4I_{\tau}}{3} \frac{v_{\text{max}} - v_{\text{min}}}{R(t) - R_{\text{min}}(t)} \frac{1}{\eta(x_{\text{ph}})\tau_{\text{tot}}(t)}. \quad (23)$$

Substituting it into Equation (20), we get

$$\frac{dR_{\text{ph}}}{dt} = (v_{\text{max}} - v_{\text{min}}) \left[ x_{\text{ph}} - \frac{4I_{\tau}}{3\eta(x_{\text{ph}})\tau_{\text{tot}}(t)} \right]. \quad (24)$$

The location of the maximum photospheric radius is found by setting  $dR_{\text{ph}}/dt = 0$  in Equation (24), giving

$$x_{\text{ph}}(t) - \frac{4I_{\tau}}{3\eta(x_{\text{ph}})\tau_{\text{tot}}(t)} = 0 \quad (25)$$

Let us define a ‘‘transitional’’ optical depth  $\tau_{\text{tr}}$  by  $dR_{\text{ph}}/dt = 0$ , i.e., when the total optical depth  $\tau_{\text{tot}}$  equals  $\tau_{\text{tr}}$ , the photospheric radius reaches its maximum. Using

Equation (25), we have

$$\tau_{\text{tr}} = \frac{4I_{\tau}}{3\eta(x_{\text{ph}})x_{\text{ph}}(t)}. \quad (26)$$

We can then find out the time  $t_{\text{ph,max}}$  when the photospheric radius reaches the maximum by substitution Equation (26) into Equation (12).

Therefore, according to our general theory, we reach the following conclusion: in an ejecta undergoing homologous expansion, for an arbitrary density distribution profile, the photospheric radius  $R_{\text{ph}}$  always displays an initially rising phase and a later declining phase. The result does not depend on the radiation and cooling process inside of the ejecta.

### 3. Examples

In this section, we consider the  $R_{\text{ph}}$  evolution in several examples with different specific density profiles (a spherical symmetry is assumed throughout), and show the differences among these examples.

#### 3.1. CASE I: Uniform Density Profile

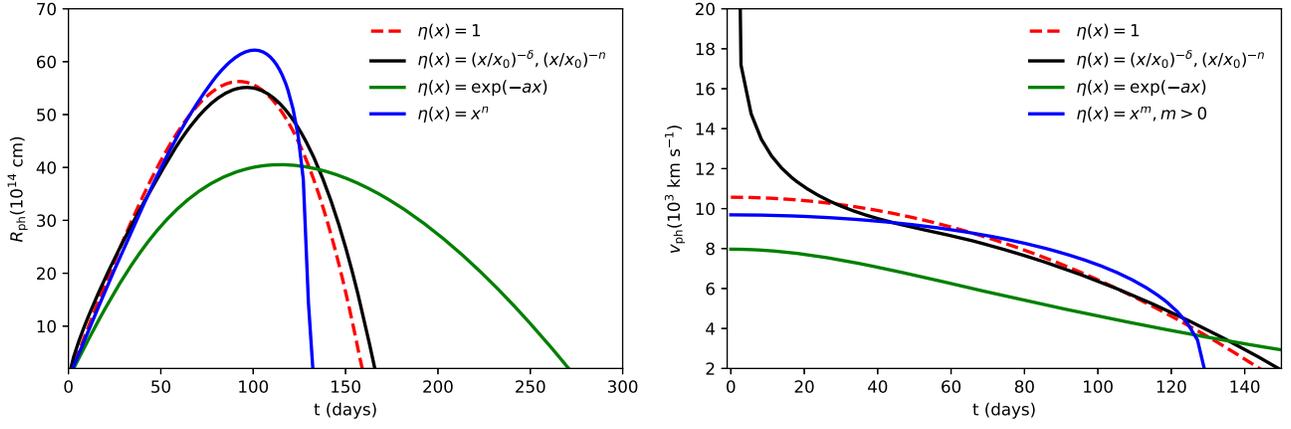
If the density profile of the ejecta is uniform, one has  $\eta(x) = 1$ . Substituting it into Equation (17), one can obtain  $x_{\text{ph}} = 1 - 2/3\tau_{\text{tot}}$ . This is equivalent to Equation (1). As the ejecta expands homologously,  $R(t)$  linearly increases with time, while the mean free path of the photons evolves as  $\lambda \propto t^3$ . According to Equation (26), we find that  $\tau_{\text{tr}} = 2$  corresponding to the maximum photospheric radius. The evolution of the photospheric radius and velocity with an uniform density is shown in Figure 1 (red dashed lines).

To calculate  $R_{\text{ph}}$ , we need to solve Equation (17) and then apply Equation (19). For  $v_{\text{min}} \ll v_{\text{max}}$ , given a certain density profile  $\eta(x)$ , there are four main free parameters that may significantly affect the results: the ejecta mass  $M_{\text{ej}}$ , the initial radius of the outer layer of the ejecta  $R_0$ , the initial kinetic energy  $E_{\text{K}}$ , and the opacity  $\kappa$ . In the following, we investigate how different parameters affect the result for the uniform density case. The fiducial parameters are chosen as (plotted with red dashed line in Figure 2):  $M_{\text{ej}} = 3.0M_{\odot}$ ;  $E_{\text{K}} = 2 \times 10^{51}$  erg,  $R_0 = 10^{13}$  cm, and  $\kappa = 0.1 \text{ cm}^2 \text{ g}^{-1}$ .

We first investigate the effect of ejecta mass. Three values are adopted:  $M_{\text{ej}} = 1, 3, 8M_{\odot}$ . The results are shown in panel (a) of Figure 2. One can see that the ejecta mass has significant influence on the photospheric radius evolution. As  $M_{\text{ej}}$  increases, the maximum  $R_{\text{ph}}$  is larger and the time it takes to reach the maximum is longer.

Next, we investigate the effect of kinetic energy by adopting  $E_{\text{K}} = 5 \times 10^{50}, 2 \times 10^{51}, 4 \times 10^{51}$  erg. As shown in panel (b) of Figure 2,  $E_{\text{K}}$  mainly influences the time when  $R_{\text{ph}}$  reaches the maximum, but has little influence on the peak value of  $R_{\text{ph}}$ . Because we fixed the ejecta mass as  $M_{\text{ej}} = 3.0M_{\odot}$ , a higher kinetic energy corresponds to a larger velocity scale, resulting in a faster evolution of  $R_{\text{ph}}$ .

The panel (c) of Figure 2 shows that initial radius  $R_0$  has a negligible effect on the evolution of  $R_{\text{ph}}$ . We adopt three values, i.e.,  $R_0 = 10^{12}, 10^{13}, 10^{14}$  cm, and find that  $R_{\text{ph}}$  essentially does not change. This is because during the evolution, we are mostly investigating the epochs when  $vt \gg R_0$ , so that the initial conditions do not matter much.



**Figure 1.** Photospheric radius (left panel) and velocity (right panel) evolution with the different density profiles for the following choice of parameters:  $M_{ej} = 3.0M_{\odot}$ ;  $E_K = 2 \times 10^{51}$  erg,  $R_0 = 10^{13}$  cm, and  $\kappa = 0.1$  cm<sup>2</sup> g<sup>-1</sup>.

Finally, we consider the effect of opacity. In the panel (d) of Figure 2, three values of opacity are chosen as  $\kappa = 0.1, 0.2, 0.4$  cm<sup>2</sup> g<sup>-1</sup>. We can see that a higher opacity leads to a higher maximum  $R_{ph}$  and a longer time to reach it.

In all of these cases, the shape of the  $R_{ph}$  evolution curves remain the same, which only depends on the density profile function  $\eta(x)$ .

### 3.2. CASE II: Broken Power-law Density Profile

We next relax the assumption of uniform density distribution. The first case we study is a broken power-law density profile, with a flatter profile in the inner region and a steeper profile in the outer part of the ejecta (e.g., Chevalier 1982; Matzner & McKee 1999; Kasen & Bildsten 2010; Moriya et al. 2013),

$$\eta(x) = \begin{cases} (x/x_0)^{-\delta} & 0 \leq x \leq x_0, \\ (x/x_0)^{-n} & x_0 \leq x \leq 1, \end{cases} \quad (27)$$

where  $x_0$  is the dimensionless transition radius from the inner region to the outer region. It is only for  $n > 5$  and  $\delta < 3$  that the conditions of finite energy and mass can be satisfied. Such a profile is often adopted in modeling SNe. The outer density index  $n$  depends on the progenitor of the SN. For SN Ib/Ic and SN Ia progenitors, one has  $n \simeq 10$  (Matzner & McKee 1999; Kasen & Bildsten 2010; Moriya et al. 2013). For explosions of red supergiants (RSGs), one has  $n \simeq 12$  (Matzner & McKee 1999; Moriya et al. 2013). The slope of the inner region of the ejecta satisfies  $\delta \simeq 0 - 1$ . In our calculation, we adopt  $\delta = 0, n = 10$  as fiducial values.

The dimensionless geometric factor for the ejecta mass due to the assumed density profile distribution is a broken power law, i.e., (Vinkó et al. 2004)

$$I_M = \frac{1}{3-\delta} x_0^3 + \frac{1}{3-n} (x_0^n - x_0^3). \quad (28)$$

The mass ratio between the inner and outer regions is

$$\mathcal{R}_M = \frac{3-n}{3-\delta} \left( \frac{x_0^3}{x_0^n - x_0^3} \right). \quad (29)$$

For  $x_0 = 0.1$ , one has  $\mathcal{R}_M = 2.33$ .

The dimensionless geometric factor for the kinetic energy of the ejecta is (Vinkó et al. 2004)

$$I_K = \frac{x_0^5}{5-\delta} + \frac{1}{5-n} (x_0^n - x_0^5). \quad (30)$$

The total optical depth of the outer region ejecta reads

$$\tau_{\text{tot,out}}(t) = \tau_{\text{tot,out}}(0) \left[ \frac{R_0 - R_{\text{min},0}}{R(t) - R_{\text{min}}(t)} \right]^2, \quad (31)$$

where the initial optical depth of the outer region is

$$\tau_{\text{tot,out}}(0) = \kappa \rho(R_0, 0) R_0 \frac{x_0 - x_0^n}{n-1}. \quad (32)$$

If  $\tau_{\text{tot,out}}(0) > 2/3$ ,  $R_{ph}$  is located in the outer region at early epochs. We define a timescale  $t_{\tau,\text{out}}$  when the outer part region becomes transparent ( $\tau_{\text{tot,out}}(t) = 2/3$ ), i.e.,

$$t_{\tau,\text{out}} = \left( \frac{R_0 - R_{\text{min},0}}{v_{\text{max}} - v_{\text{min}}} \right) \left\{ \left[ \frac{3\tau_{\text{tot,out}}(0)}{2} \right]^{1/2} - 1 \right\}. \quad (33)$$

At  $t < t_{\tau,\text{out}}$ ,  $R_{ph}$  is in the outer region, which is equivalent to  $x_{ph} > x_0$ , so that

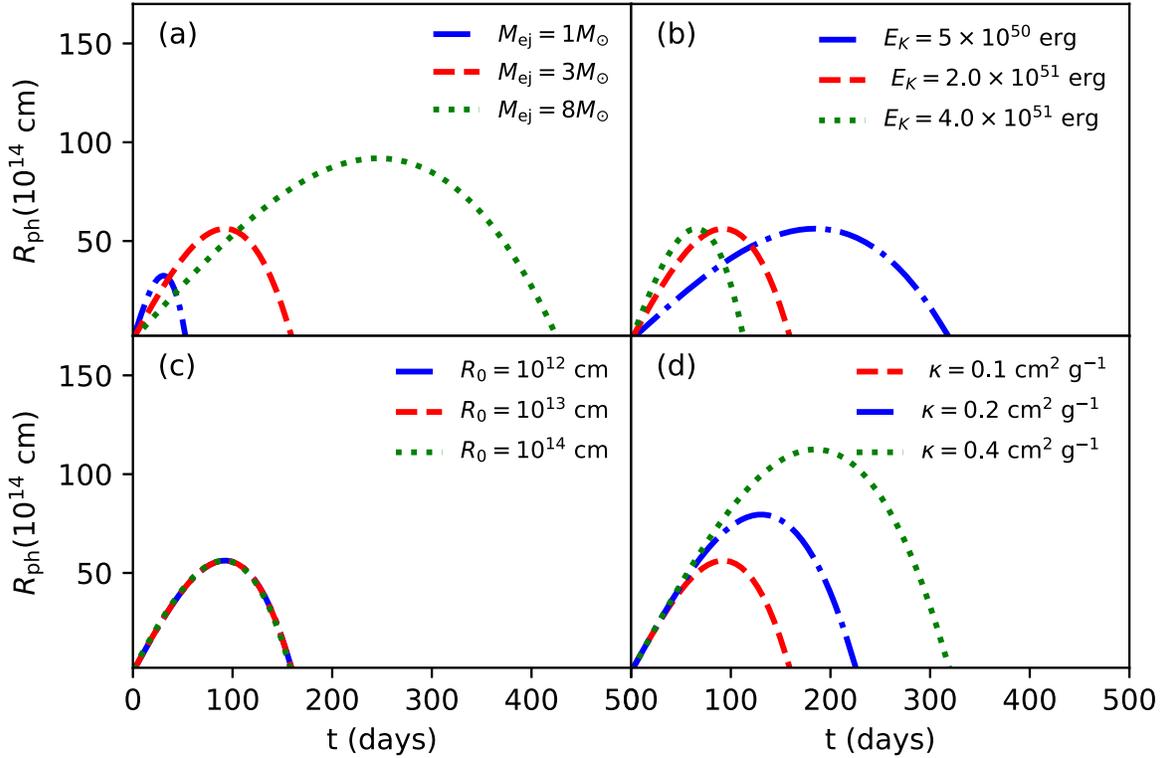
$$\begin{aligned} I_{ph} &= \int_{x_{ph}}^1 (x/x_0)^{-n} dx \\ &= \frac{x_0^n}{1-n} (1 - x_{ph}^{1-n}) \approx \frac{x_0^n}{n-1} x_{ph}^{1-n}. \end{aligned} \quad (34)$$

We then obtain

$$x_{ph}(t) = x_0 \left[ \frac{2}{3\tau_{\text{tot,out}}(t)} \right]^{\frac{1}{1-n}}. \quad (35)$$

At  $t > t_{\tau,\text{out}}$ , the outer region becomes transparent ( $\tau_{\text{tot,out}} \approx 2/3$ ). The photospheric radius  $R_{ph}$  would enter the inner part region of the ejecta. The total optical depth of the inner region reads

$$\tau_{\text{tot,in}}(t) = \kappa \rho(R_0, 0) R_0 \frac{x_0}{1-\delta} \left[ \frac{R_0 - R_{\text{min},0}}{R(t) - R_{\text{min}}(t)} \right]^2. \quad (36)$$



**Figure 2.** Effects of the varying ejecta mass  $M_{\text{ej}}$  (panel a), kinetic energy  $E_K$  (panel b), initial radius  $R_0$  (panel c), and opacity (panel d) of the ejecta. The uniform density profile  $\eta(x) = 1$  is adopted. The fiducial parameters are (plotted with a red dashed line):  $M_{\text{ej}} = 3.0M_{\odot}$ ;  $E_K = 2 \times 10^{51}$  erg,  $R_0 = 10^{13}$  cm, and  $\kappa = 0.1 \text{ cm}^2 \text{ g}^{-1}$ .

When  $t > t_{\tau, \text{out}}$ , the dimensionless photospheric radius can be obtained by

$$x_{\text{ph}}(t) = x_0 \left[ 1 - \frac{2/3 - \tau_{\text{tot}, \text{out}}(t)}{\tau_{\text{tot}, \text{in}}(t)} \right]. \quad (37)$$

The  $R_{\text{ph}}$  and  $v_{\text{ph}}$  evolution are shown in Figure 1 with black solid lines. We find that in this situation the  $R_{\text{ph}}$  evolution curve shares similar qualitative behaviors with the uniform density one. In particular, it shares the same decline rate after the peak. For the particular parameter set that we have adopted, after  $t_{\tau, \text{out}} = 52.8$  days,  $x_{\text{ph}}$  occurs in the inner region, which has a slope  $\delta = 0$  corresponding to a constant density profile.

### 3.3. CASE III: Exponential Density Profile

We now consider the density profile in the form of

$$\eta(x) = \exp(-ax), \quad (38)$$

where  $a$  is a small positive value, with  $a = 1.72$  representing the Paczyński RSG envelope (Arnett 1980).

In this case, the dimensionless geometric factors for the ejecta mass and the kinetic energy are as follows:

$$I_M = \frac{2 - (a^2 + 2a + 2)e^{-a}}{a^3}, \quad (39)$$

and

$$I_K = \frac{24 + \{-24 - a[24 + a(4 + a)]\}e^{-a}}{a^5}. \quad (40)$$

Similar to the above analysis, we can obtain the dimensionless photospheric radius as

$$x_{\text{ph}}(t) = -\frac{1}{a} \ln \left[ \frac{2(1 - e^{-a})}{3\tau_{\text{tot}}(t)} + e^{-a} \right], \quad (41)$$

where the total optical depth is

$$\tau_{\text{tot}}(t) = \kappa \rho(R_0, 0) R_0 \frac{1 - e^{-a}}{a} \left[ \frac{R_0 - R_{\text{min}, 0}}{R(t) - R_{\text{min}}(t)} \right]^2. \quad (42)$$

The  $R_{\text{ph}}$  evolution for this case is shown in Figure 1 as the green solid curve. Because the density gradient  $d\eta/dx$  is larger than that of the uniform density profile, the  $R_{\text{ph}}$  decline rate is much slower.

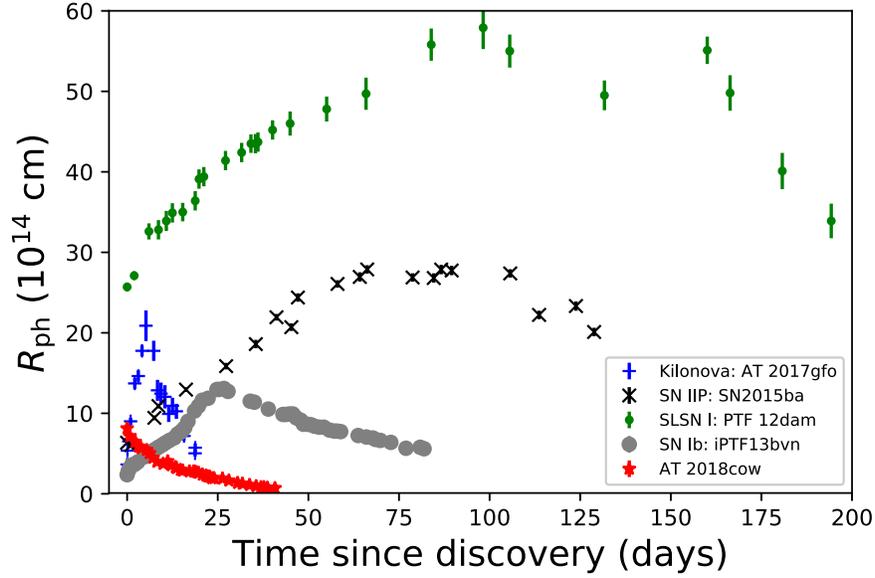
### 3.4. CASE IV: Density Increases with Radius

In the three cases mentioned above, the density profile of the ejecta is either a constant or decreasing with the radius. It is interesting to investigate the opposite case, i.e., the density increases with radius so that there is a positive density gradient, even though it is difficult to realize such a density profile in SN explosions.

We assume the density profile as a power law, i.e.,

$$\eta(x) = x^m, \quad (43)$$

where the power-law index  $m$  is assumed to be a positive value to allow density increasing with radius. The uniform density profile corresponds to  $m = 0$ .



**Figure 3.** Photospheric radius evolution of various optical transients: Type I superluminous SN PTF 12dam, Type IIP SN 2015ba, Type Ib SN iPTF13bvn, the kilonova AT 2017gfo associated with GW170817/GRB 170817A, as well as the peculiar event AT 2018cow that is likely not from an explosion.

In this situation, the photospheric radius reads

$$R_{\text{ph}}(t) = R(t) \left( 1 - \frac{2}{3\tau_{\text{tot}}(t)} \right)^{\frac{1}{m+1}}, \quad (44)$$

where

$$\tau_{\text{tot}}(t) = \frac{\kappa\rho(R_0, 0)R_0}{1+m} \left[ \frac{R_0 - R_{\text{min},0}}{R(t) - R_{\text{min}}(t)} \right]^2. \quad (45)$$

The time derivative of the photosphere is

$$\begin{aligned} \frac{dR_{\text{ph}}}{dt} &= (v_{\text{max}} - v_{\text{min}}) \left[ 1 - \frac{2}{3\tau_{\text{tot}}(t)} \right]^{\frac{1}{m+1}} \\ &- \frac{4(v_{\text{max}} - v_{\text{min}})}{3(1+m)} \frac{1}{\tau_{\text{tot}}(t)} \left[ 1 - \frac{2}{3\tau_{\text{tot}}(t)} \right]^{\frac{-m}{m+1}}. \end{aligned} \quad (46)$$

We find that when  $\tau_{\text{tot}} = \tau_{\text{tr}} = 2(m+3)/3(m+1)$ , the photospheric radius reaches its peak.

We adopt  $m = 2$ , the  $R_{\text{ph}}$  and  $v_{\text{ph}}$  evolution are shown in Figure 1 as blue solid lines. Compared with the three cases mentioned in previous subsections, the photospheric radius in this case decreases very rapidly after the peak due to the rapid decrease of density as the photosphere recedes in the ejecta.

#### 4. Conclusions and Discussion

We have investigated a general model of homologous expansion with an arbitrary density distribution profile and the evolution of the photospheric radius. We discover a generic behavior, i.e.,  $R_{\text{ph}}$  always rises at early epochs and falls at late epochs. As shown in Figure 1, different density profiles affect the shape of the  $R_{\text{ph}}$  evolution curves, especially the rate of decline after the peak. However, the general qualitative behavior remains the same. Investigating how various parameters might affect the  $R_{\text{ph}}$  evolution curve (Figure 2), we find that the initial radius has a negligible effect, while ejecta mass, kinetic energy, and opacity all influence the maximum  $R_{\text{ph}}$  and the time to reach the peak.

Our treatment assumed a constant opacity. In general, the opacity is a function of density, temperature, and composition of the ejecta. It is essentially a constant when Thomson scattering dominates the opacity. If the local temperature of the ejecta drops below the recombination temperature  $T_{\text{rec}}$ , the ejecta is mostly neutral, in which case the opacity is almost zero. Taking the recombination effect into account, the ejecta becomes transparent in a shorter timescale (Arnett & Fu 1989). Considering the effect of the recombination would introduce additional complications of  $R_{\text{ph}}$  evolution, which is not investigated in this Letter.

So far we have ignored emission from the outer layers in the nebular phase, so the above theory is applied to the case when the emission from the nebular phase does not outshine the emission from the photosphere. At late epochs of an explosion, such an assumption is no longer valid. On the other hand, the observed spectrum would deviate from blackbody because the emission is optically thin. For an ideal observational campaign with wide-frequency-band observations, such a phase can be in principle identified. In practice, photometric observations in several different colors may not be able to tell the difference, so that an “effective”  $\tilde{R}_{\text{ph}}(t)$  is derived based on the observed  $L_{\text{bol}}(t)$  and  $T_{\text{eff}}(t)$ , which include the contributions from both the true photosphere and gas above. This is not the true photospheric radius, which decays slower than the true  $R_{\text{ph}}(t)$ . This explains the shallow  $R_{\text{ph}}$  decay in many transients as revealed by observations (e.g., Nicholl et al. 2016; Dastidar et al. 2018).

Some SNe, especially SNe IIn, show evidence of interaction between the SN ejecta and the circumstellar medium (CSM) around the progenitor. In this case, because the velocity of the outer layers of the SN ejecta is much higher than the velocity of the CSM, one may assume that the ejecta interacts with a relatively stationary CSM. The photospheric radius is located in the CSM rather than in the SN ejecta. Photons diffuse through an optically thick CSM with a fixed photosphere (Chatzopoulos et al. 2012, 2013). Therefore, in this situation, one has  $T(t) \propto L_{\text{bol}}^{1/4}(t)$ . The difference in the  $R_{\text{ph}}$  evolution behaviors between the interacting model and the homologous explosion model

discussed here can be used to diagnose the physical origin of an observed SN event.

In Figure 3, we collect a sample of explosions whose  $R_{\text{ph}}$  evolution is well observed. One can see that the general rising/falling behavior of  $R_{\text{ph}}$  predicted in our theory is found in different types of SNe, including superluminous supernova PTF 12dam (Vreeswijk et al. 2017), Type IIP supernova SN 2015ba with a long plateau (Dastidar et al. 2018), and Type Ib iPTF13bvn (Fremling et al. 2016). The photospheric radius of the “kilonova” transient AT 2017gfo associated with GW170817 also exhibited such a rising/falling behavior (Drout et al. 2017). The widths of the  $R_{\text{ph}}$  peaks depend on the physical parameters of the explosions (e.g.,  $M_{\text{ej}}$ ,  $E_{\text{K}}$ , and  $\kappa$ ), but the general evolution behavior is similar.

The special event AT 2018cow shows a peculiar behavior of steady decline of  $R_{\text{ph}}$  as a function of time (Kuin et al. 2018; Perley et al. 2018); see Figure 3. According to the theory discussed in this Letter, this behavior means that it is essentially impossible for AT 2018cow to be a supernova. Observationally,  $R_{\text{ph}}$  decays from the very beginning, and no rising  $R_{\text{ph}}$  was detected. In order to interpret the source as a SN, the ejecta mass should be very small, e.g.,  $\sim 0.05M_{\odot}$ , in order to make a very rapid rise to satisfy the observational constraint (Prentice et al. 2018). For such a small mass, the ejecta would become transparent in a very short period of time, e.g.,  $t_{\tau} = 3.2$  days for uniform density distribution. However, observationally, the photospheric radius of AT 2018cow continually decreases over a much longer period of time ( $>30$  days) since the first detection. If the emission is from the nebula phase, the effective photospheric radius  $\tilde{R}_{\text{ph}}$  would display an increasing trend due to the expansion of the ejecta, contrary to the observations. Our results support its interpretation within the framework of a TDE (Kuin et al. 2018; Perley et al. 2018).

If the ejecta is a radiation-dominated gas, a strictly adiabatic cooling solution would give  $T \propto R(t)^{-1}$ . According to Arnett (1980, 1982), the temperature distribution within the ejecta can be described as

$$T^4(r, t) = T^4(R_0, 0)\Psi(x)\phi(t)\left[\frac{R_0 - R_{\text{min},0}}{R(t) - R_{\text{min}}(t)}\right]^4. \quad (47)$$

where  $\phi(t)$  is the temporal part solution of energy conservation equation of the expanding ejecta, while  $[(R(0) - R_{\text{min},0})/(R(t) - R_{\text{min}}(t))]^4$  describes the adiabatic cooling of the ejecta. The spatial part of the solution  $\Psi(x)$  depends on the density profile  $\eta(x)$ . Observationally, the evolution of  $R_{\text{ph}}$  can be directly used to constrain the density profile of the ejecta if the contamination from the gas above the photosphere is insignificant or can be removed. The

observed photospheric temperature as a function of time, when coupled with the inferred density profile as well as the adiabatic evolution law in Equation (47), can be used to directly diagnose the temperature structure of the ejecta. Direct confrontations of our theory with detailed observational data of diverse explosion events will be carried out in future work.

We thank Simon Prentice, Daniel Perley for helpful information, Shanqin Wang, Shaoze Li, Anthony L. Piro for discussion, and the referee for helpful suggestions. This Letter was supported by the National Basic Research Program (“973” Program) of China (grant No. 2014CB845800) and the National Natural Science Foundation of China (grant Nos. 11573014 and 11833003). This Letter was also supported by the National Program on Key Research and Development Project of China (grant Nos. 2017YFA0402600 and 2016YFA0400801). L.D.L. is supported by a scholarship from the China Scholarship Council (No. 201706190127) to conduct research at the University of Nevada, Las Vegas (UNLV).

### ORCID iDs

Bing Zhang  <https://orcid.org/0000-0002-9725-2524>  
Zi-Gao Dai  <https://orcid.org/0000-0002-7835-8585>

### References

- Arcavi, I., Howell, D. A., Kasen, D., et al. 2017, *Natur*, 551, 210  
Arcavi, I., Wolf, W. M., Howell, D. A., et al. 2016, *ApJ*, 819, 35  
Arnett, W. D. 1980, *ApJ*, 237, 541  
Arnett, W. D. 1982, *ApJ*, 253, 785  
Arnett, W. D., & Fu, A. 1989, *ApJ*, 340, 396  
Chatzopoulos, E., Wheeler, J. C., & Vinko, J. 2012, *ApJ*, 746, 121  
Chatzopoulos, E., Wheeler, J. C., Vinko, J., Horvath, Z. L., & Nagy, A. 2013, *ApJ*, 773, 76  
Chevalier, R. A. 1982, *ApJ*, 258, 790  
Dastidar, R., Misra, K., Hosseinzadeh, G., et al. 2018, *MNRAS*, 479, 2421  
Dong, S., Shappee, B. J., Prieto, J. L., et al. 2016, *Sci*, 351, 257  
Drout, M. R., Chornock, R., Soderberg, A. M., et al. 2014, *ApJ*, 794, 23  
Drout, M. R., Piro, A. L., Shappee, B. J., et al. 2017, *Sci*, 358, 1570  
Fremling, C., Sollerman, J., Taddia, F., et al. 2016, *A&A*, 593, A68  
Kasen, D., & Bildsten, L. 2010, *ApJ*, 717, 245  
Kuin, N. P. M., Wu, K., Oates, S., et al. 2018, arXiv:1808.08492  
Matzner, C. D., & McKee, C. F. 1999, *ApJ*, 510, 379  
Moriya, T. J., Maeda, K., Taddia, F., et al. 2013, *MNRAS*, 435, 1520  
Nicholl, M., Berger, E., Margutti, R., et al. 2016, *ApJL*, 828, L18  
Perley, D. A., Mazzali, P. A., Yan, L., et al. 2018, arXiv:1808.00969  
Prentice, S. J., Maguire, K., Smartt, S. J., et al. 2018, *ApJL*, 865, L3  
Vinkó, J., Blake, R. M., Sárneczky, K., et al. 2004, *A&A*, 427, 453  
Vreeswijk, P. M., Leloudas, G., Gal-Yam, A., et al. 2017, *ApJ*, 835, 58  
Whitesides, L., Lunnan, R., Kasliwal, M. M., et al. 2017, *ApJ*, 851, 107