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OBJECT DETECTION AND TRACKING IN WIDE AREA SURVEILLANCE USING THERMAL IMAGERY

By

Santosh Bhusal

Bachelor's Degree in Electronics and Communication Engineering Tribhuvan University, Nepal 2011

> A thesis submitted in partial fulfillment of the requirements for the

Master of Science in Engineering – Electrical Engineering

Department of Electrical and Computer Engineering Howard Houges College of Engineering The Graduate College

> University of Nevada, Las Vegas December 2015

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Thesis Approval

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Object Detection and Tracking in Wide Area Surveillance Using Thermal Imagery

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Abstract

The main objective behind this thesis is to examine how existing vision-based detection and tracking algorithms perform in thermal imagery-based video surveillance. While color-based surveillance has been extensively studied, these techniques can not be used during low illumination, at night, or with lighting changes and shadows which limits their applicability. The main contributions in this thesis are (1) the creation of a new color-thermal dataset, (2) a detailed performance comparison of different color-based detection and tracking algorithms on thermal data and (3) the proposal of an adaptive neural network for false detection rejection.

Since there are not many publicly available datasets for thermal-video surveillance, a new UNLV Thermal Color Pedestrian Dataset was collected to evaluate the performance of popular color-based detection and tracking in thermal images. The dataset provides an overhead view of humans walking through a courtyard and it appropriate for aerial surveillance scenarios such as unmanned aerial systems (UAS). Three popular detection schemes are studied for thermal pedestrian detection: 1) Haar-like features, 2) local binary pattern (LBP) and 3) background subtraction motion detection. A i) Kalman filter predictor and iii) optical flow are used for tracking. Results show that combining Haar and LBP detections with a 50% overlap rule and tracking using Kalman filters can improve the true positive rate (TPR) of detection by 20%. However, motion-based methods are better at rejecting false positive in non-moving camera scenarios. The Kalman filter with LBP detection is the most efficient tracker but optical flow better rejects false noise detections. This thesis also presents a technique for learning and characterizing pedestrian detections with "heat maps" and an object-centric motion compensation method for UAS. Finally, an adaptive method to reject false detections using error back propagation using a neural network. The adaptive rejection scheme is able to successfully learn to identify static false detections for improved detection performance.

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Chapter 1

Introduction

Video Surveillance is the act of monitoring the activities, behavior and a changing activities in a scene for the purpose of managing, directing or identifying security threats in an automated way. The use of the advanced computer technology for data acquisition and analysis of those datas using sophisticated vision algorithm to capture the unusual activities or directing, guiding and planning the scene under observation without the involvement of human effort is the ideal goal of video surveillance. With the proper use of cameras, security threats like theft, robbery, terrorism or other criminal activities may be controlled. In addition to this, highway monitoring surveillance system can be used to understand pedestrian and traffic behavior, traffic rule violations, accidents, etc. Parking lot management, hazard monitoring in industries, border monitoring and license plate recognition systems are other popular application of video surveillance. Another lesser known surveillance application is wildlife conservation. Some examples of surveillance cameras are shown in Figure 1.1.

The most popular form of video surveillance is with traditional Closed-circuit television (CCTV). CCTV was first used for monitoring the launch of V-2 rockets in 1942 in Germany [1]. In modern days, CCTV refers to cameras that are used for surveillance in banks, airports, military purposes etc. CCTV captures the activities in its field of view and transmits the video stream to monitors at the monitoring station or control room. In the past decades, those videos required constant monitoring personnel to identify any ongoing activity in the scene that warrants a response. CCTV network generates large volume of video information which makes it difficult to manage effectively.



Figure 1.1: Examples of real surveillance cameras. a A license plate recognition camera (green circle) pointing up the ramp to view vehicles entering the SEB garage at UNLV. b Surveillance cameras high atop the the corner of a building [1]. c Intersection monitoring camera (blue circle) on top a light post over a Las Vegas road.

Presently, shopping markets, hotels and casinos, governmental and private business offices, highways and major routes are under 24 hour video surveillance. In wide area surveillance, video feed from cameras mounted on tall buildings and towers or the video recorded from the cameras on Unmanned Aerial vehicles (UAVs) are used to detect and identify unusual activities. A large area can be covered when cameras are placed at high altitude, however this added benefit comes at the cost of image quality, especially in terms of low picture resolution. Other challenges imposed by high altitude cameras are lighting and illumination changes due to various environmental factors and motion changes. Camera motions because of strong wind, or the motion of the aerial vehicle itself can cause the serious issue in the surveillance system.

Computer vision algorithms extract important features such as shapes, illumination, and color distributions from images and video sequences which can be used to better understand a scene automatically as done by the human visual system of eyes and brain. In other words, computer vision provides the real-time interpretation of the scene under observation, and warns if the system requires an immediate response. When a machine is able to understand a monitored scene and warn about unusual responses, very large area can be observed and controlled using large number of video sensors by a single person. Hence, continuous and focused monitoring of a very large area becomes possible at a low cost. This area of artificial intelligence includes various sub-areas such as scene reconstruction, object detection, recognition, tracking, and motion estimation which are the major components of video surveillance. However, traditional surveillance systems utilize visible light cameras to make it easy for human observation but limits usage times with proper illumination. This severely limits operation at night and in areas without external lighting. However, all objects having temperature above absolute zero emit infrared rays that we perceive as heat. Infrared rays have wavelength (700nm - 1mm) in the electromagnetic spectrum range and are just beyond the visible spectrum range (380nm - 700nm). They can not be detected by human eye. Thermal Imaging technology converts the spectrum in infrared range to images and video. Instead of capturing visible information in the scene, thermal imaging technology can capture tiny differences in the temperature, and display them in the varying shades of graylevel. Thus thermal imaging can provide a better modality for surveillance.

1.1 Motivation

Currently, terrorism, crime, robbery, shop lifting, and accidents have become a major threat for people, societies and countries. Video surveillance is an attempt to control, reduce, and identify the main reason of these threats. As an example, the use of CCTVs in airports has secured confidence for world traveling. Using cameras along highways, one can determine the possible causes of an accident in addition to providing traffic management abilities. A shop owner's worry can be diminished by setting up cameras around the shop for remote viewing. These cameras a cost effective since they only require a small initial installation fee along with daily operating power which is insignificant by comparison to continued payroll costs of security personnel.

Despite these advantages of video surveillance, existing surveillance systems are typically based on cameras that capture in the visible spectrum. Surveillance systems with cameras in visible range are dependent on lighting conditions. The performance of the system changes with changing lighting conditions and various other environmental factors. Cameras installed to observe outdoor scenes or in laces where electric lights will be turned off occasionally will become useless during the night times or low-lighting conditions. Thermal images are almost independent of all these lighting and illumination changes. Thermal cameras capture the tiny difference in temperature $(0.01^{\circ}C)$ of foreground objects and the background scene and then display them with varying shades of intensity in an image.

The main motivation behind this thesis is to examine how existing vision-based detection and tracking algorithms perform in thermal imagery, at varying scale and resolution. While colorbased surveillance has been extensively studied, these techniques are limited. Finally, as modern surveillance is changing to include imagery obtained from satellite and Unmanned Aerial System (UAS), the performance of vision algorithms over a wide range of scales needs to be examined and considered.

1.2 Objective

To detect objects of interest and track them is a challenging task in terms of machine vision. This area of study attracts the interest of several researchers and significant object detection and tracking methods have been proposed. However, almost all of those methods are proposed for color and the grayscale image processing, i.e. images within the visible spectrum. However, thermal images are beyond the range of visible spectrum.

The main objective of this thesis is to test some of those existing methods in case of multi-spectral images. In other words, the main objective is to develop 2D real-time machine vision system based on thermal image processing using methods proposed for color image processing.

1.3 Outline

In Chapter 1, we provided a brief introduction to the area of research. We discussed the need and our motivation to choose this particular topic area for this thesis work.

In Chapter 2, we will review briefly existing methods and algorithms in machine vision for object detection and tracking. We will also discuss how to address the data association problem, connecting the correct object measurement to the corresponding track, for successful tracking.

In Chapter 3, we will give a brief overview to our approach in video surveillance. We will present various component that we have used to address the problem.

In Chapter 4, we will present the dataset we have used during this thesis work.

In Chapter 5 we will discuss the appearance-based models Haar and Local Binary Patterns (LBP) and their combination and motion based segmentation method for pedestrian detection.

In Chapter 6 we will also present the Lucas Kanade optical flow and Kalman filter for tracking people in a scene. We will go in detail through the mathematical model in tracking pedestrian. We will also discuss our approach to use these algorithms for multi-target tracking such as data association.

In Chapter 7 we will also present an object-centric video stabilization technique to overcome camera motion in aerial imagery. We will also show one of the method how we can use the detection and tracking result in understanding the scene better.

In Chapter 8, we will discuss a novel approach for rejecting the false detections using a back propagation neural network.

In Chapter 9, we will show the results of our work. We will also provide a comparative study of our research.

In Chapter 10, we will summarize our work. Based on the results we will draw some conclusion regarding the thermal image processing. We will also discuss how this work can be improved in future.

Chapter 2

Literature Review

The history of Computer Vision in the field of wide area surveillance is not much far. Many of the earlier works include manual recording and detection of moving object. One of the traditional approaches in detecting deer using thermal camera in South West Florida is described in [2]. They flew transects using a Bell Ranger helicopter from half an hour before sunrise until to one hour after sunrise on successive days. Their aircraft was flown at an altitude of 180 - 200m and the speed of the aircraft was 74 - 93kph. They used an experienced spotter to observe the deer in the scene. When the spotter spots a deer the area was scanned with the thermal imagery and the thermal signature of the deer were counted to find the number of deer. By scanning the scene to capture the thermal signature of deer, they were able to count 42% more deer then using standard visual aerial survey method. Thermal signature so captured were in the range of 3 - 5micron spectral range.

Recent works on wide area surveillance implements some of the standard algorithms of detecting moving objects and tracking them. We divide this chapter to describe the past works based on object detection, object tracking and data association separately.

2.1 Object Detection

In machine vision objects such as pedestrian, vehicles detection is a popular area of research and has wide application such as video surveillance. Due to easy availability of extremely challenging dataset in terms of pedestrians and vehicles detection, impressive progressive have been made in the past few years in the area of pedestrians and vehicles detection. We will be discuss some of those methods in this section.

2.1.1 Appearance Based Model

Objects such as pedestrians, face, vehicles and animals detection in computer vision is an active area of study nowadays. A large amount of reference surveys can be found in detecting objects of interest in an image such one described in [3] for pedestrian, [4,5] for face, [6] for pedestrian. To find the instances of real world object in an image or video are mostly based on feature extraction and learning. In case of wide area surveillance some of the popular methods for object detections are appearance based models like Histogram of Oriented Gradient (HOG), deformable parts model. These techniques work well when the object of interests occupies significant area in an image. In addition, appearance-based techniques are robust to camera motion.

Histogram of Oriented gradients [3] was first described in detection of humans. HOG descriptor assumes that the local object appearance and shape within an image is mainly described by the distribution edge directions. The extracted HOG features from an image are fed to a Support Vector Machine classifier, to classify human and non human. HOG descriptor sees human as a single entity. A new descriptor called Deformable parts model (DPM) of Felzenswalb et al [6], based on the HOG descriptor, models an object as a constellation of parts. DPM method, however has bad performance in terms of speed. This method can be combined with classifier cascade with coarse to fine search to reduce computational time.

The first ever developed real time face detection system in computer vision and is equally popular in video surveillance is described in [4,5,7]. The main contribution of this paper, which makes this method better suitable for fast and accurate detection, are the integral image for quick extraction of features, Adaboost for selecting best features and cascade classifier for fast detection of object of interests. Another popular method, which is highly discriminative, invariant to monotonic color change as shown in Figure 2.1 [8], and computationally efficient for face detection is described in [9]. The main idea here is to extract the local texture features of an object with small dimension, instead of using a the whole image as a high-dimensional vector. This method was described as one of the state of art for face detection and is equally popular in wide area surveillance. Haar cascade classifier and Local Binary patterns have been described in details in section 5.



Figure 2.1: LBP Image of an artificially modified image.

Integral channel features are computed for each channel in multiple registered image channel from some local features such as local sum, Haar-like wavelets, local histograms extracted over local rectangular regions using integral image in [10]. This method basically uses information available on various channels to compute important image features. These computed features have been utilized in object recognition, pedestrian detection, edge detection and local region matching which are however computationally expensive.

Real time human and vehicle detection from optical and thermal images is discussed in [11]. They classify object of interest (vehicles) from background objects using the optical images trained with cascaded Haar classifiers. Once a vehicle is detected in optical image, they will confirm the detection by searching for the thermal signature of that vehicle in the geometrically corresponding area in the thermal image. They trained the thermal signature of humans with varying orientation and contrast to detect humans from non-human using Haar cascade method. As a secondary confirmation for human detection multivariate Gaussian shape matching technique was used. This method of detecting objects however requires the optical and thermal images to be spatially synchronized, which can be achieved by homography estimation.

The method described in [12] was primarily focused on nature conservation by automatic monitoring of animal distribution. They used drones images to automatically detect and count cows. Authors in [6] used the deformable part model (DPM) and exemplar SVM on gray and color image for detecting the cows. The result of detection presented in that paper shows that the exemplar SVM outperform both the DPM models, which is actually opposite of what we see for human detection. In order to count the number of cows they used the optical flow with Kanade-Lucas-Timasi (KLT) tracker. The problem of associating the detection of one cow in previous frame and current is solved by looking at the ratio of area of overlapping i.e. $(A \cap B)/(A \cup B) < 0.5$.

Authors in [13] combined the color and thermal images to detect pedestrian in aerial images using multispectral aggregated channel features (ACF). ACF have 10 augmented channels (LUV+M+O): LUV denotes 3 channels of CIELUV color space, M denotes 1 channel the gradient magnitude of the color image and O denotes the 6 channel of gradient histogram, (simplified HOG). Multispectral ACF, the combination of ACF features from color images combined with HOG features extracted from histogram equalized thermal image, when used for detection was able to reduce the average miss rate as done by ACF alone. They used the clue [14] that gradient of thermal as important features. [14] proposed two stage person detection in multispectral images. The first stage is to detect hot spot using blob detection, thresholding and connected components method instead of using background subtraction. And the second step is to use Discrete Cosine Transform (DCT) based descriptor and modified Recursive Naive Bayes (RNB) classifier. [15] uses the local features of the input image to generate the PDF. Using image segmentation technique and the generated PDF, they determine the focus of attention (FOA). Inside of FOA, they apply graph theory to detect the animals.

2.1.2 Motion Based Model

Although many modern object detection paradigms use feature extraction and classifiers, their performance is not better in terms of wide area surveillance. Motion-based techniques are also popular among the researcher working in the area as motion can be detected in far field in colored image surveillance. The other reason for poor performance of appearance based model in wide area surveillance is the low resolution. Images with high resolution contain good features to detect and track. On the other hand motion can be easily extracted from a low resolution image so works better for video surveillance. However, the motion based techniques are too much sensitive to noise and camera jitters giving a poor detection. In a video frame, moving object can be detected by determining a model for background and then finding the difference between each incoming frame sequence and the model of the background. Some traditional approach models the background as the mean/median of the previous N frames. These methods require large memory and also the background becomes blurring with time. A running average for the background model has some added benefit over the mean and median filtering model. For real time tracking background model must be adaptive.

Most of the works on detecting moving objects are in wide area surveillance are dependent on the frame differencing method and Gaussian Mixture Model (GMM) [16]. Apart of added benefit to be able to classify each pixel as background or foreground, this algorithm is robust over lightening change, repetitive motions, tracking over cluttered regions and slow moving objects. These methods are however unsuitable when the objects of interest are equally likely to be in the state of rest. [16] models each pixel in an image as the mixture of Gaussian. Based on the variance of each of the Gaussians, they classify the particular pixel is a part of background or not. Those pixels whose distribution does not fit to the distribution of the background pixels are classified as the foreground object. The major drawback of motion based detectors is that the object of interest when comes to state of rest, becomes the part of background.

Authors in [17] have modified GMM each pixel (μ, σ, w) with an interval model $(\mu_{min}, \mu_{max}, w)$ and using a single global value for standard deviation. Vehicle detection in wide area and dense traffic environment is described in [18] using 3 frame subtraction approach, which was followed by geo-registration process to stabilize the image. A median background modeling method is described in [19]. They used the ratio of people's height and the size of the shadows to filter out non- human area. False detection due to parallax and registration errors was removed using the gradient information of the background image. The method described in [18] and [19] are based on fixed size vehicles, and do not handle the parallax properly. [20] also used background subtraction method for detection. The work described in [21] used the intrinsic properties such as location, speed and direction to identify motion. They introduced a tensor voting method to generate the features which are scaled at different scales. They called these features as Multi Scale Intrinsic Motion Structure (MIMS) features. The use of local dimensionality and structure makes this method less sensitive to noisy optical flow estimates.

Since the motion based surveillance system lose detection when the object of interest is in the state of rest, some of the research going on take advantages form the detection based techniques combined with motion based techniques. As an example the author in [22] takes the motion based



Figure 2.2: Contextual Combination of Haar and GMM based detection. The first image shows the detection based on GMM and Haar, with 'V' for vehicle and 'H' for Haar. Second image shows the detection after the fusion of the both detector. Many false detection due to HAAR have been reduced in the second image [22]

object detection as described in [16] and appearance based features from [4] to detect the vehicles and pedestrian in aerial videos of intersection. This contextual combination helps to reject the false positives from appearance based model as shown in Figure 2.2.

2.2 Tracking

Tracking in video is one of the difficult problem in computer vision as many different and varying situations such as varying illumination, change in scene, varying number of targets need to be resolved. A large number of tracking methods have been proposed in literature based on the type of application in the area. Some of those methods are based on object segmentation model, motion model and probabilistic model. Lukas-Kanade [23] tracker finds the appropriate affine transformation for the features found in the local neighborhood of the current frame for a target to the features of the same target in next frame. This tracker has been widely used in literature for estimating the optical flow. Optical flow is one of the successful algorithms to find the match for the local feature of a target between two frames when the target intensity remains consistent and the target moves slowly. [24] used Lucas Kanade optical flow tracker for queue analysis at intersections. Mean shift algorithm [25] is an iterative algorithm for finding the mode of the distribution. This algorithm needs the histogram back projected image and the initial location of the target as input

to initialize the tracker. The tracker in the next frame finds the best match for the histogram distribution using Bhattacharya distance. The camshift (Continuously Adaptive Meanshift) [25] applies meanshift first to find the match for the target histogram. Once the mean shift algorithm converges to the best match, Camshift updates the size of the window and fits the best fitting eclipse to the window. Again it applies meanshift over the new search window. In camshift the window size adapts accordingly to the size and the movement of the target.

Motion based features are sensitive to noise. Thus, most of the motion based approach results in high false alarm rate. The state space approach better handles the multivariate, linear, nonlinear and non-Gaussian processes, which thus, is often used in solving tracking problem. The state vector contains all the set of information to describe the system. As a simple example for tracking problem, the centroid of the bounding box or object and its velocity along X-direction and Y-direction is the state of the system. The measurement vector represents the set of noisy observations that are related to the state vector. [26] used Kalman filter for tracking multi-object. Kalman filter gives a nice Gaussian solution for the location of a target if the problem is linear in nature with added Gaussian noise. It establishes the suitable motion model for tracking. [27] used constant velocity for modeling the vehicle dynamics and estimate the track using Kalman filter. In [28] support vector machine and Kalman filtering are adopted for detection and tracking respectively.

In probabilistic model tracking problems are solved by estimating the state of the object of interest that changes over time using a sequences of noisy measurement [29]. Prokaj, J. and Medioni, G. in [20], however presented the multiple objects tracking approach based on two trackers. The first one is detection based tracker which relies on the background subtraction model and the second one is based on the target state regression tracking, which provides frame to frame tracking. In regression tracker, only the valid samples from the motion models are acquired using regressor. The main advantage of this model is that, it does not incorporate appearance model and handles the stopping target better. However, the use of two trackers in parallel increase the computational time and also as the regressor model is initialized using detector model, tracker may fails if the detector fails to detect some target of interest.

The posterior probability density function of the state of the dynamic system can be computed from the set of available noisy measurements, which can be used to estimate the optimal solution for the new state [29]. The state space model predicts the state of the state and use the measurement model (if available) to update the state from a bunch of noisy predicted state using the Bayes theorem. In [30] authors described the tracking framework in wide area surveillance from the fusion of color and thermal imagery working under the Bayesian framework (particle filtering). They defined the state of each pedestrian with its bounding box location and 2D color histogram. Particle filter is computationally expensive but a robust form of tracking which can easily handle the situation even when the system is non-linear and non-Gaussian unlike for Kalman filter. Some of the randomly distributed particles will capture the underlying model and the probability distribution of the target.

In multi-target tracking target arise at the random time and space, exists for the random length of time. It is important to associate the right measurement to right target track. Data association is a major problem in multiple target tracking. One of the methods for associating the data is the greedy nearest neighbor method. For a target it associates the measurement which is closest to the predicted position [27]. [26] also uses the greedy nearest neighbor method for target tracking but also incorporate the area of the track and the measurement in the cost function.

Chapter 3

System Overview

The primary goal of this thesis is to examine the performance of the color image-based video surveillance system over thermal images. Thus, we need to have a video surveillance system based on the thermal images. The primary goal of this chapter is to give a view of how the various components of aerial surveillance system such as object detection, object tracking, video stabilization, and activity analysis using thermal imagery are associated. The system diagram Figure 3.1 will give a pictorial view of our approach for video surveillance in thermal videos.



Figure 3.1: System overview

For thermal video surveillance for pedestrian, the first need is thermal images and videos of pedestrian with aerial view in different orientation and view angle. In 4, we will discuss one of the publicly available dataset that we have used in training our detector and the dataset we created in UNLV campus for testing the dataset. We will implement the Haar, Local Binary Pattern (LBP), their combination, and the background subtraction based detector for detecting pedestrian in the UNLV dataset as shown by the 'Detector' block in the system diagram. Our approach for Kalman fitler and optical flow based tracking needs the bounding box information from the detector as shown by the 'Tracking' block in the system diagram. In our system we implemented Kalman filter based tracking over the LBP detector and the combined detector, while optical flow was based on the background subtraction.

The video stabilization technique we have used needs a detection for the object of interest. The detection result is used to keep the target of interest in a fixed view while making the less important move around. This is an approach to address the video stabilization when the video sequence obtained is from Unmanned Areial Vehiches (UAVs), and there is a need to follow or to analyze the particular object in the scene. In the activity analysis we used the detection and tracking result to understand the pedestrian walking behavior using heatmap.

In order to reduce the number of noisy detection form our Haar and LBP detector we introduced a Neural network based approach. This system will extract the shape information from the detection result and rejects the detections that do not carry pedestrian in it.

Chapter 4

Dataset

The data required for this thesis work are the thermal aerial videos for pedestrian. However, there are not enough publicly available images and videos dataset which are both thermal and have aerial view of pedestrian. One of the easily accessible dataset is described below.

4.1 OTCBVS Benchmark Dataset Collection

Object Tracking and Classification Beyond the Visible Spectrum [31] is publicly available dataset for testing image processing and computer vision algorithms on infrared images. Two of the eleven datasets collected at the Ohio State University was used in this Thesis work. Dataset 01: OSU Thermal Pedestrian Database [32] consisting of 284 images in 8 bit grayscale bitmap format was captured using Raytheon 300D thermal sensor core of 75 mm lens from a rooftop of 8-story building. Dataset 03: OSU Color-Thermal Database [33] consisting of 17089 images in 8 bit grayscale bitmap, was captured using Raytheon PalmIR 250D with 25mm lens from height approximately 3 stories above the ground. This dataset was collected at two different locations. Both of these dataset are available with the ground truth bounding box information and have been utilized for training the pedestrian detectors.

4.2 UNLV Thermal-Color Dataset

A new pedestrian dataset on both thermal and color surveillance was collected at the UNLV campus called UNLV Thermal-Color Pedestrian Benchmark Dataset. The dataset consists of seven different sequences observing a busy courtyard from the fourth floor of the Science and Engineering



(a) Dataset 01 (b) Dataset 03 (c) Dataset 03

Figure 4.1: OTCBVS Benchmark Dataset used for training thermal pedestrian classifiers.

Building. The dataset consist of both thermal and color camera captured videos. Each video was collected at 30 frames per seconds. The thermal video collected using the false color palate (Iron) is of 5 minutes length while the associated colored videos in the wide field of view are of a minute length. The first set of dataset was collected on January 29, 2015, around 4:00 PM when the surrounding temperature was in upper 60s. The thermal images were collected using FLIR ThermaCAM E45 4.2a with spectral range between 7.5-13/microm, while the color images was captured using a Point Grey 1.3MP Color Flea3 camera with wide angle Fujinon YV2.8X2.8SA-2, 2.8mm-8mm adjustable focal length lens, shown in 4.2b. Both of these cameras are shown in figure 4.2.



(a) FLIR ThermaCAM E45 (b) Point Grey 1.3MP Color Flea3

Figure 4.2: Cameras Used to Collect the UNLV Thermal-Color Dataset

Three additional thermal videos were recorded on August 3, 2015 when the surrounding temperature was above 100. Some of the images from UNLV Thermal-Color Dataset are shown in Figure 4.3. The grayscale version of the winter images as shown in figure 4.3c was used most of the time in this Thesis work. The summer image as shown in Figure 4.3d is inverted because of the surrounding hot temperature. 1011 frames from the winter images were manually annotated for testing the performance of detectors.



(c) Thermal Image in Grayscale

(d) Thermal Image from Summer

Figure 4.3: UNLV Thermal-Color Pedestrian Benchmark Dataset. Pedestrians are lighter than the background during the winter but the color is inverted during the summer when the ground is very hot.

Chapter 5

Pedestrian Detection

In order to detect pedestrians in the wide area video sequences Haar, Local Binary Patterns (LBP)are used as appearance based methods and Gaussian mixture model (GMM) was used to extract moving pedestrians. As our approach we combined the Haar and LBP detectors at the decision level to give a new detector. These algorithms are discussed in details in the following subsections.

5.1 Haar Features

Haar features are the two dimensional combination of Haar functions, represented by two or more weighted rectangular regions as shown in Figure 5.1.



Figure 5.1: Viola and Jones Haar features. [4].

It can capture the local appearance features in an image. Each Haar functions are placed over

the image, in a similar way like a convolution kernel is placed, to extract Haar features in a window of 18X30. For a Haar function with k rectangles Haar feature f are extracted by using the equation given below 5.1.

$$f = \sum_{i=1}^{k} w_n \cdot \mu_n \tag{5.1}$$

where, w_n is weight of each rectangle, and μ_n is mean intensity of the pixels in an image I enclosed by the i^{th} rectangle. Weights are assigned to each rectangles such that 5.2 is satisfied.

$$\sum_{c} w_n = 0 \tag{5.2}$$

As an example, for the rectangle A and C in Figure 5.1, the weight for shaded rectangle is 1 and 2 respectively, and for white rectangle is -1. All possible sizes and locations of each Haar functions should be considered, for each image. Thus, resulting a large number of Haar features. Thus, the detection process produces accurate detection in cost of speed. In order to speed up the process of feature calculation, the concept of integral image was introduced in [4,5]. Integral image simplifies the calculation of sum of pixels in just four operations even for a large rectangle. Integral image I_t is the two dimensional(2D) matrix of the size same as the original image, which contains the sum of all the pixels which are located on the up-left of the original image as given by equation in 5.3.

$$I_t(i,j) = \sum_{i' \le i, j' \le j} I(i', j')$$
(5.3)

The sum of all pixels inside the rectangle XYZW in the integral image shown in Figure 5.2 can be obtained by the equation 5.4

$$I_t(rectangleWXYZ) = I_t(X) + I_t(Z) - I_t(Y) - I_t(W)$$
(5.4)

All Haar features were applied over all the training images. Not all of them capture the important features for correctly classifying the pedestrian from background objects. Best features from all of those extracted features can be selected by using the Adaboost algorithm. Adaboost algorithm determines an optimal threshold for each feature to classify each of the training images as pedestrian and non-pedestrian object with minimum error of classification. These features are now called weak classifiers. Some of these weak classifiers which have minimum error rate in accurately classifying pedestrians from non-pedestrian object are taken into consideration to form a final strong classifier which best separates pedestrian from the background objects. The detailed of the boosting techniques described by [4, 5] is described in algorithm 5.1.



Figure 5.2: Integral image for sum of pixels in rectangle. Integral image to find the sum of all the pixels inside the rectangle WXYZ highlighted by red color. The top left corner of the image is highlighted with a black dot to indicate the starting point for the calculation of the integral image.

During the testing process, the test image was scanned in search of pedestrian in a small window of size 18X30. Most of the area in a test image will be non-pedestrian region. To apply all the selected final features from the Adaboost algorithms over the test window will increase the computational time. To make the testing process faster, the selected features are grouped to form to form different cascade of classifier. For each window, the cascaded classifier was applied individually in search of pedestrian object. If any of the cascade classifier fails to detect a pedestrian in the window, that window will be discarded as a non-pedestrian window, otherwise another cascade classifier will be applied over the window. A window which passes all stages of cascade classifier contains the pedestrian. The schematic diagram for the cascade classifier is shown in Figure 5.3. Classifier which are based on Haar like features have shown better accuracy in detecting objects, at the cost

Algorithm 5.1: Boosting algorithm for learning

- Given example images $(x_1, y_1), ..., (x_n, y_n)$ where $y_i = 0, 1$ for negative and positive examples respectively.
- Initialize weights $w_{1,i} = \frac{1}{2m}, \frac{1}{2l}$ for $y_i = 0, 1$ respectively, where m and l are the number of negatives and positives respectively.
- for t = 1, ..., T:
 - 1. Normalize the weights, $w_{1,i} \leftarrow \frac{w_{1,i}}{\sum_{i=1}^{n}} w_{1,i}$
 - 2. Select the best weak classifier with respect to the weighted error $\epsilon_t = \min_{f,p,\theta} \sum_i w_i |h(x_i, f, p, \theta) y_i|$
 - 3. Define $h_t(x) = h(x, f_t, p_t, \theta_t \text{ where } f_t, p_t, \theta_t \text{ are the minimizers of } \epsilon_t$
 - 4. Update the weights: $w_{t+1,i} = w_{t,i}\beta_t^{1-e_i}$ where $e_i = 0$ if examplex_i is classified correctly $e_i = 0$ otherwise, and $\beta_t = \frac{\epsilon_t}{1-\epsilon_t}$

• The final strong classifier is:

$$C(x) = \begin{cases} 1 & \sum_{t=1}^{T} \alpha_t h_t(x) \ge 0.5 \sum_{t=1}^{T} \alpha_t \\ 0 & otherwise \end{cases}$$
where $\alpha_t = \log \frac{1}{\beta_t}$

of speed [4, 5, 7].

5.2 Local Binary Patterns

Local binary patterns (LBP) are the powerful means of capturing the texture information from an image [9,34]. LBP describes the object with the local features of small dimensions. Each pixel in an image is compared with its neighborhood. As an example, for a 3×3 , each of the 8 neighbor will be compared with the center pixel. If the center pixel is larger or equals to the neighboring pixel, it is denoted by 1 and 0 if the center pixel is small as described by the equation 5.5.

$$LBP(x_c, y_c) = \sum_{p=0}^{P-1} 2^p \times S(i_p - i_c)$$
(5.5)

where (x_c, y_c) is the center pixel with intensity i_c and i_p being the intensity of the neighboring pixel. S(x) is the sign function as defined by equation 5.6



Figure 5.3: Schematic diagram for cascade of classifier. The initial stages eliminates a large number of negative examples quickly and efficiently. Subsequent layer eliminate additional negatives but requires more processing time from initial to final stages. A particular sub-window which is able to pass each stage will be denoted as a pedestrian region [4, 5].

$$S(x) = \begin{cases} 1 & x \ge 0\\ 0 & otherwise \end{cases}$$
(5.6)

The LBP operator can be extended to use with different neighborhood, and radius as the fixed neighborhood is not enough to encode the details that differ with the scale. Thus, the LBP operator can be described with the notation, (P, R), where P are the sampling points on a circle of radius R. For a given center point (x_c, y_c) the position of the neighbor (x_p, y_p) , $p \ \epsilon P$ is given by the equation 5.7.

$$x_p = x_c + Rcos(\frac{2\pi p}{P})$$

$$y_p = y_c + Rsin(\frac{2\pi p}{P})$$
(5.7)

As shown in Figure 5.4 by the blue dot, if the sampling line does not pass through the center of the neighbor pixel, that point get interpolated with bilinear interpolation as given by equation 5.8.

$$f(x,y) = \begin{bmatrix} 1-x & x \end{bmatrix} \begin{bmatrix} f(0,0) & f(0,1) \\ f(1,0) & f(1,1) \end{bmatrix} \begin{bmatrix} 1-y \\ x \end{bmatrix}$$
(5.8)

A Local Binary Pattern is said to be uniform if it has two bitwise transitions at most. Once all the texture descriptors are extracted histogram can be drawn out of it. Thus, a whole object of interest is divided into a number of local regions and local histogram of the binary texture structure is extracted. All of these histograms are concatenated to obtain the spatially enhanced feature vector. Thus, obtained features are highly robust against the monotonic gray scale transformation as shown in Figure 2.1. In OpenCV these features are boosted using Adaboost algorithm 5.1 and a cascade classifer algorithm given in ?? is used for detection purpose.

A circular path (clockwise or counter-clockwise) will be followed for other pixels too. Thus, an 8-bit binary number is obtained which is converted to decimal for convenience as shown in Figure 5.4.

5.3 Combined Haar and LBP Detector

A new detector that combines the detection result from both Haar and LBP detector to provide more reliable detection were used. If both Haar and LBP detector fires at a location, it is more likely to have a true detection at that location. The Haar and LBP detectors are combined at the decision level by 50% overlap rule (area of overlap is greater than or equal to 50%). If the bounding box of the Haar and LBP detector overlaps by more than 50% then it was considered as a match. The intersecting area of the two matching detector was considered as a new detection for the combined Haar and LBP detector. The equation of this fused detector is given by 5.9

$$D = \{A \cap B : \frac{A \cap B}{A \cup B} > 0.5\}$$
(5.9)

where A are the bounding box from the Haar detector and B are the bounding box from the LBP detector.



Figure 5.4: Definition of local binary pattern. (a) The basic LBP operator compares pixels using a threshold to develop a binary descriptor of texture. (b) The circular (8,2) neighborhood. Every neighbor pixel (shown by black and blue dots) are compared with the red center pixel determine the binary pattern. Each black dots lies on the center of the pixel so they are directly compared to the red pixel but pixel values for blue dots are bilinear interpolated as the sampling point does not lie on the center of the pixel.

5.4 Motion Detection

In a video frame, moving object can be detected by determining a model for background and then computing the difference between each incoming frame sequence and the model of the background. The performance of the detector strongly depends on the choice of background model. For real time application, the background model should be adaptive so, adaptive modeling of background using mixture of Gaussian [16] has been chosen. Single Gaussian model for the stationery background model is not suitable since, multiple colors for the same pixel can be observed, because of lightening change, repetitive motion or and reflection. For each pixel history $X_1, ..., X_t$ K different Gaussian as given in equation 5.10 was chosen to model them as background pixel.

$$\eta(X_t, \mu, \Sigma) = \frac{1}{(2\pi)^{n/2} |\Sigma|^{1/2}} e^{-0.5(X_t - \mu_t)^T \Sigma^{-1}(X_t - \mu_t)}$$
(5.10)

where μ is the mean and $\Sigma = \sigma^2 I$ is the covariance matrix. Each pixel in current frame will be compared to each of the background model. The probability that the current pixel fits a particular Gaussian distribution from the mixture is:

$$P(X_t) = \sum_{i=0}^{K} w_{i,t} * \eta(X_t, \mu_{i,t}, \Sigma_{i,t})$$
(5.11)

where $w_{i,t}$ is the weight of the i^{th} Gaussian in the mixture at time t. Every pixels in the image is checked if it lies within 2.5 times the standard deviation of one of the distribution, to determine a match. Once the pixel fit to any one of the background model, the parameter of the distribution which matches with the particular pixels will be updated using the equations 5.12, while the parameter of the unmatched distribution remains the same.

$$\mu_t = (1 - \rho) * \rho X_t$$

$$\sigma_t^2 = (1 - \rho)\sigma_{t-1}^2 + \rho (X_t - \mu_t)^T (X_t - \mu_t)$$
(5.12)

where $\rho = \alpha \eta(X_t | mu_k, \sigma_k)$, α being learning rate.

If none of the K distribution matched the current pixel it becomes the part of foreground. The least probable Gaussian distribution of this pixel will be replaced by another Gaussian distribution with high variance and lower prior weight. The weight update equation is given as follows:

$$w_{k,t} = (1 - \alpha)w_{k,t-1} + \alpha M_{k,t} \tag{5.13}$$

where $M_{k,t}$ is 1 for matched model and 0 for unmatched model. After estimating the parameter of the mixture using equations 5.12 and 5.13, then the model satisfying the equation 5.14 will be selected as the background model:

$$B = argmin_b(\sum_{k=1}^{b} w_k > T)$$
(5.14)

where T is some threshold value. All the foreground objects will be extracted using the connected
components algorithm. The method described in [16] has fixed number of Gaussian but the OpenCV implementation of this mixture model is based on [35], where the number of Gaussian for modeling the particular pixel is also adaptive.

Chapter 6

Pedestrian Tracking

In computer vision, object detected in one frame of the video is independent of the object detection in the consecutive frame in the same video. In video surveillance it is very important to relate the detected object in previous frame with the same object detected in current frame. Tracking in computer vision thus, helps to find the relation between the object of interest from frame to frame throughout the video. In other words, tracking estimates the trajectory of the object of interest frame to frame to frame in a video. Thus, objects tracking [36] in a video can be useful in:

- provides the object information such as area, shape, orientation
- predicts the possible location of the detected object when they are occluded
- motion based object detection and recognition
- analysis of object tracks and understanding their behavior
- monitoring the suspicious activities in a scene
- activities and the gesture recognition
- video based navigation system for vehicles

In computer vision tracking is one of the important area of research. Despite of its benefit, this is one of the challenging task to carry out because of the following reasons:

- information loss due to projecting the 3D world in a 2D scene
- illumination, shadows, camera motions, and noise
- complex object motion (constant acceleration, constant speed)
- changing shapes appearance and orientation of the object of interest

- possible partial and full occlusions
- lack of prior information of the number of objects, shape and orientation
- object behavior, (Example: walking in a group or alone, walking or running)

Several approaches have been proposed in literature for tracking object in machine vision based on the available information about the object like shape, motion model, and available features. As Haar and LBP features have been used for pedestrian detection, those pedestrians were tracked based on the detection information available in this thesis work.

6.1 Kalman Filter

Kalman filter, a method to give optimal solution to many tracking problems and data prediction, is very popular in computer vision. It can estimate the state of a time varying system through a sequence of noisy measurement. It can process the new measurements available because of its recursive nature. This algorithm is optimal in the sense that it minimizes the mean square error of the estimated state variables, if the noise involved in the system is Gaussian in nature. Kalman filter is simple to implement and very convenient to use for real time application.

A model for each pedestrian in the scene is created using state space approach. Let X be the state vector, Z be the measurement vector for a person being tracked, which can have same or different dimension. Observing the image sequence, this model can be used in following two ways:

- This model may change with time, in which case Z_k gives the estimate of X_k . And the use of multiple observations $Z_1, Z_2, ...$ over time gives the improved estimate of the underlying model.
- The estimate of X_k may provide the prediction for X_{k+1} and Z_{k+1} .

6.1.1 Mathematical Model for Kalman Filter

This model described is linear, observations are the linear function of the state vector and white Gaussian noise. The mathematical description of the Kalman filter [26] can be described in following different phases.

1. Process equation:

$$X_k = A X_{k-1} + w_{k-1} \tag{6.1}$$

where A represents the state transition matrix and w_k is a white Gaussian process noise with probability density function $p(w) \sim N(0, Q_k)$, $Q_k = E[w_k w_k^T]$ being noise covariance.

2. Measurement equation:

$$Z_k = HX_k + v_k \tag{6.2}$$

where H is the measurement matrix, v_k is the Gaussian measurement noise with probability density function $p(v) \sim N(0, R_k)$, $R_k = E[v_k v_k^T]$ being noise covariance.

3. Time and measurement update:

The main objective of this phase is to estimate the aposterior state estimation for \hat{X}_k from its pevious estimate and the measurement Z_k , along with the process covariance noise. Let \hat{X}_k be the estimate of X_k , then the error of estimation is given as:

$$e_k = X_k - \hat{X}_k \tag{6.3}$$

The error covariance matrix at time k is given as:

$$P_k = E[e_k e_k^T] = E[(X_k - \hat{X}_k)(X_k - \hat{X}_k)^T]$$
(6.4)

If the prior estimate of \hat{X}_k is \hat{X}_k^- , then the update equation for the estimate can be given as:

$$\hat{X}_{k} = \hat{X}_{k}^{-} + K_{k}(Z_{k} - H\hat{X}_{k}^{-})$$
(6.5)

where K_k is the Kalman gain. The term $(Z_k - H\hat{X}_k^{-})$ is a measurement residual. Substituting equation 6.2 in equation 6.5

$$\hat{X}_{k} = \hat{X}_{k}^{-} + K_{k}(HX_{k} + v_{k} - H\hat{X}_{k}^{-})$$
(6.6)

Now from equation 6.4 and equation 6.6

$$P_k = E[((I - K_k H)(X_k - \hat{X}_k) - K_k v_k)(I - K_k H)(X_k - \hat{X}_k) - K_k v_k)^T]$$
(6.7)

The term $(X_k - \hat{X}_k)$ in above equation, is the error of the prior estimate and is independent of the measurement noise. Thus the expectation in 6.7 is given as:

$$P_{k} = (I - K_{k}H)E[(X_{k} - \hat{X_{k}})(X_{k} - \hat{X_{k}})^{T}](I - K_{k}H) + K_{k}E[v_{k}v_{k}^{T}]K_{k}^{T}$$

$$P_{k} = (I - K_{k}H)P_{k}^{-}(I - K_{k}H)^{T} + K_{k}R_{k}K_{k}^{T}$$
(6.8)

where P_k^- is the prior estimate of P_k . Differentiating the trace of P_k in equation 6.8 with respect to K_k , noting the fact that error covariance matrix is independent with the gain i.e. $\frac{dP_k}{dK_k} = 0$, the value of Kalman gain can be computed as:

$$K_k = P_k^- H^T (H P_k^- H^T + R)^{-1}$$
(6.9)

Thus from equation 6.8 and equation 6.9 P_k can be simplified as:

$$P_k = (I - K_k H) P_k^- (6.10)$$

6.1.2 Kalman Filter for Multi Target Tracking

A structure was defined to maintain the state of a tracked object. The parameters of the tracking structure are:

- Tracking Index(ID): Tracking Index is used as the identity for each track and is used in data association.
- Age of the track (AT): the number of frames since the track was first detected (Age of the Track)
- Total Visible Count (TVC): number of frames in which tge track was actually detected.
- Kalman filter object (KF): for Kalman filter tracking
- bounding box (BB): to display the location of the track at the particular frame.
- state (State): parameter for initializing the Kalman filter object
- measurement (Meas): Kalman filter parameter update
- tracking points (TP): tracking point store the center point of the bounding box in each track from the first frame until the track is completely lost.
- non visible count(NVC): to count the consecutive detection lost

For each detection in very first frame and also for new detections in other frames, the structure assign a ID, initializes the KF, assign the detection bounding box information to the Kalman filter State and Meas parameter and BB, initializes the AT and TVC to 1 and NVC to 0, stores the centroid of bounding box in TP. In the successive frame if the same pedestrian is detected then AT, and TVC are increased by 1, KF updates the State and Meas parameter. The bounding box information was updated from the updated Meas variables, and the TP vector will again stores the center of BB. For a failure detection, AT and NVC were increased by unity, KF estimates the new State. BB and TP were updated from the new State only. A track will be deleted if NVC count exceeds 4. This was also helpful in removing some noisy detection to stay for longer period of time in the track. This tracking structure was very useful to handle new detections and also removing the track when a particular pedestrian is out of the scene.

In our case, we have the bounding box information from the detector output. For each frame the measurement variable consist of 2D bounding box information i.e. the centroid (x_c, y_c) of the bounding box and the width w and height h of the bounding box. The choice of width and height is to avoid the confusion, when two moving target are occluded and the center of the bounding box coincides or tends to coincide. The camera that have been used to for collecting the video samples was set to capture the frame at 30 frames per second (fps) rate. There was very little change in the moving target between the successive frames. Most of the people captured in the video were observed to be moving with the same initial speed when they enter the camera range. The constant speed model of the Newtonian dynamics was chosen to implement our Kalman filter model. In addition to the centroid of the bounding box, its width and height, the horizontal speed v_x and vertical v_y were also chosen as the state variable. The measurement vector and the state vector for our model is given in equation 6.2 and equation 6.12 respectively.

$$Z = \begin{bmatrix} x_c & y_c & w & h \end{bmatrix}^T \tag{6.11}$$

$$X = \begin{bmatrix} x_c & y_c & v_x & v_y & w & h \end{bmatrix}^T$$
(6.12)

Once we have a detection, we initialize the Kalman filter for it. The parameter for the state transition matrix A and the measurement matrix H are given in equation 6.13 and equation 6.14 respectively.

$$A = \begin{bmatrix} 1 & 0 & dT & 0 & 0 & 0 \\ 0 & 1 & 0 & dT & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$
(6.13)

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$
(6.14)

The process covariance noise Q and measurement covariance noise R are given as in equation 6.15 and equation 6.16 respectively.

$$Q = \begin{bmatrix} e^{-2} & 0 & 0 & 0 & 0 & 0 \\ 0 & e^{-2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 2.0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1.0 & 0 & 0 \\ 0 & 0 & 0 & 0 & e^{-2} & 0 \\ 0 & 0 & 0 & 0 & 0 & e^{-2} \end{bmatrix}$$
(6.15)

$$R = \begin{bmatrix} e^{-1} & 0 & 0 & 0 & 0 & 0 \\ 0 & e^{-1} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & e^{-1} & 0 \\ 0 & 0 & 0 & 0 & 0 & e^{-1} \end{bmatrix}$$
(6.16)

where $dT = \frac{1}{fps}$. For each

6.2 Optical Flow

In an static camera video, the motion of the moving objects are represented by the relative shift of the pixels in the consecutive frames. When the frame per rate is high, a pixel I(x, y) of certain intensity or color in t^{th} frame, will not not move too far in the $(t + 1)^{th}$ assuming that the color or brightness constancy is maintained. This small motion of that particular pixel can be obtained using optical flow. Consider a pixel H(x, y) moves a distance (dx, dy) in time dt. Assuming the image intensity remains consistent, the then:

$$H(x,y) = I(x + dx, y + dy)$$
(6.17)

Approximating the right side of the equation with Taylor series expansion and ignoring the higher order derivatives :

$$I(x + dx, y + dy) \approx I(x, y) + \frac{\partial I}{\partial x} * dx + \frac{\partial I}{\partial y} * dy$$
 (6.18)

Combining 6.17 and 6.18, we can write:

$$(I(x,y) - H(x,y)) + I_x * dx + I_y * dy \approx 0$$

$$I_t + I_x * dx + I_y * dy \approx 0$$

$$I_t + \nabla I. \begin{bmatrix} dx & dy \end{bmatrix} \approx 0$$
(6.19)

where, $I_x = \frac{\partial I}{\partial x}$ is image gradient in x-direction and $I_y = \frac{\partial I}{\partial y}$ is image gradient in y-direction. Differentiating above equation with respect to time dt

$$I_t + \nabla I. \begin{bmatrix} \frac{\partial x}{\partial t} & \frac{\partial y}{\partial t} \end{bmatrix} = 0$$
(6.20)

There are several ways to solve the equation 6.19 with two unknowns dx and dy. The Lucas-Kanade method have been chosen. The idea behind the Lucas-Kanade method is that the neighboring pixels have similar motions. Lucas-Kanade methods consider a 3X3 window, for a point, so that 9 pixels have the similar motions and equation 6.19 can be rewritten for each points as:

$$\nabla I(p_i) \cdot \begin{bmatrix} \frac{\partial x}{\partial t} & \frac{\partial y}{\partial t} \end{bmatrix} = -I_t(p_i)$$
(6.21)

where p_i is the i^{th} pixel. This gives rise to 9 equations and 2 unknowns. Solution to this problem can be obtained by least square fitting method. Let $A = \nabla I(p_i)$ is (9X2) matrix, $X = \begin{bmatrix} \frac{\partial x}{\partial t} & \frac{\partial y}{\partial t} \end{bmatrix}$ is (2X1) matrix and $Y = -I_t(p_i)$ is (9X1) matrix. Then inorder to solve the equation 6.21 $||AX - Y||^2$ should be minimized, given that

$$AX = Y$$
$$A^T A X = A^T Y$$

If $A^T A$ is invertible, with large eigen values, the above equation can give optimal solution. The final equation can be written as:

$$\begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} \begin{bmatrix} dx \\ dy \end{bmatrix} = \begin{bmatrix} I_x I_t \\ I_y I_t \end{bmatrix}$$
(6.22)

Now the final equation have two equations and two unknowns and is solvable. Here the matrix $A^{T}A$ is Harris corner detector matrix, indicating that corners are the good features for matching or tracking.

6.2.1 Multi Target Tracking using Optical Flow

The tracking data structure for multi-target tracking using optical flow is almost similar to the tracking data structure defined for Kalman filter tracking except the Kalman filter object, Kalman filter state variable and Kalman filter measurement variable were replaced by a optical flow feature vector (FV) to handle the new detections, updating the existing tracks and deleting the particular track if they no longer appear in the camera view. The feature vector (FV) was initialized for each new detection and was updated in every successive frames.

6.3 Data Association in Multi-Target Tracking

Detections are provided as the input to the tracking system. These detections initialize the Kalman filter tracker in the system [27]. During the tracking process, the position of a detected pedestrian will be predicted for the next frame. The predicted position was compared with the actual detections from the detector. If the any of the detected measurement was found close to the predicted measurement, that measurement was used to update our Kalman filter tracker for the particular pedestrian.

In case of multi-target tracking problem, we need to resolve the data association problem. Multiple detections from the detector need to be assigned to the multiple tracks. The greedy matching algorithm was used to resolve this assignment problem. Each detection was compared with all of the existing tracks using the Euclidean measure. Using just the centroid for distance measure may results in wrong assignment if two people who are very closer but moving in opposite direction. Instead of using the single point for computing the distance between the detection and tracking, we used two points (centroid and the bottom right corner of the bounding box) from the detection window and the previous track to compute the distance. A detection having minimum distance with any of the existing track is more likely to be matched with the track. However, the presence of noise and acquisition error in measurement a more reliable a decision rule for associating the detections and track was set as given by equation 6.23.

$$d_1^2 + d_2^2 < 50 \tag{6.23}$$

where d_1 is distance between the centroid of track *i* from previous frame and detection *j* from current frame. d_2 is distance between the bottom right corner of the track *i* from previous frame and the bottom right corner of the detection *j* from current frame. This prevents a track from matching too far away, which is likely to be separate object.

Data association in moving object detection using background subtraction and optical flow is a bit more complicated because of inconsistencies in the bounding box across the detected objects [24, 37]. The size of bounding boxes changes from the background subtraction method unlike in Haar and LBP detector. So, the cost function for data association is defined as given in equation 6.24

$$f(D,A) = \alpha D_{ij}^2 + (1-\alpha)|A_{t,i} - A_{d,j}|$$
(6.24)

where $\alpha = 0.75$, D_{ij} is distance between the centroid of track *i* from previous frame and detection *j* from current frame, $A_{t,i}$ is area of track *i* from previous frame and $A_{d,j}$ is the area of j_{th} detection from current frame. f(D, A) < 150 is assumed to be a match. In order word, smaller the cost

function, the two objects are more likely to have correspondence. A low value of α is chosen to give high weight to the distance metrics than the change in area metrics.

Chapter 7

Activity Analysis

Detection and tracking results can be very useful in understanding the underlying behavior. In this chapter we will discuss two application are of the detection result. In the first section we will discuss video stabilization, in a scene where the video frames are captured from the camera from UAS. The video stabilization gives the easy view for the observer to focus on the target of interest when the video frames are shaky. In the second section we will highlight the pedestrian behavior in the scene.

7.1 Video Stabilization

Camera mounted on the high altitude towers can be affected by the strong wind causing the captured video frame to be shaky. While hardware solutions, including dampened mounting and high quality gimbals, are effective to remove such unwanted motions, still the camera motions due to panning and zooming exists. The UAS motion also brings a large amount of jittering in the captured video sequence. Video stabilization is the art of removing such motions between frames. Some of the software techniques developed so far will compensate the motion in the whole frame or compensate motion based on object.

7.1.1 Object Centric Video Stabilization

Often times there comes the situation where the location of particular object of interest will be the focus area in the surveillance system. Object centric stabilization makes it easy for the human observer to focus on the object of interest itself and away from the distracting camera movements. The UAS will move in an attempt to keep that object of interest in the field of view. The raw video collected will not be smooth because of the camera motion. The video can be smoothed about object using the object detection framework.

The particular object of interest was detected in the first frame. The same object detected in the rest of the frames was warped and smoothed to maintain the same view and same location as seen in the first frame making the less important background move quickly around. Thus, an object centric video stabilization is established, which will make the monitoring task easier, and helps in activity analysis. Following steps have been followed for object centric video stabilization:

- Object detector provides the bounding box location of the detected object. Only a single object is selected for stabilization. Let the bounding rectangle for this object be R_1 .
- The bounding box location for the same same object in next frame frame is extracted from the detector. Let the bounding rectangle for second frame be R_2 .
- Affine transform between R_1 and R_2 was computed and applied over the second frame.
- For n^{th} frame Affine transform between the R_1 and R_n was computed and applied over that frame.
- To make the stabilized video smooth the affine transform matrix obtained for n^{th} is computed as the weighted average of all the affine transform matrices obtained for past frames. If A_n is the affine transform matrix obtained between bounding rectangle R_1 and R_n , for smoothing the video, A_n is replaced by:

$$A_n = \frac{1}{2}A_n + \frac{1}{2}A_{n-1} \tag{7.1}$$

Let $(x_{1,1}, y_{1,1})$, $(x_{1,2}, y_{1,2})$, and $(x_{1,3}, y_{1,3})$ be the top left, top right and bottom right corners of the bounding box of the detected object in first frame. The goal of the affine transform is to find a suitable transformation matrix M which can map the same three points on the bounding box given by $(x_{n,1}, y_{n,1})$, $(x_{n,2}, y_{n,2})$, and $(x_{n,3}, y_{n,3})$ respectively from n^{th} frame. Then affine transform between these set of points is given by the equation 7.2

$$\underbrace{\begin{bmatrix} x_{1,1} & x_{1,2} & x_{1,3} \\ y_{1,1} & y_{1,2} & y_{1,3} \\ 1 & 1 & 1 \end{bmatrix}}_{X_1} = \underbrace{\begin{bmatrix} a & b & t_x \\ c & d & t_y \\ 0 & 0 & 1 \end{bmatrix}}_{A_n} \underbrace{\begin{bmatrix} x_{n,1} & x_{n,2} & x_{n,3} \\ y_{n,1} & y_{n,2} & y_{n,3} \\ 1 & 1 & 1 \end{bmatrix}}_{X_n}$$
(7.2)

where a and d gives scaling in x - axis and y - axis respectively, and b and c gives x - axis and y - axis shearing respectively. The term $t = (t_x, t_y)$ are the translation parameters to shifts the origin to point (t_x, t_y) . Equation 7.2 has 6 equations and 6 unknown parameter of the matrix A_n , which can be easily solved if A_n is invertible.

The video stabilization technique discussed above was implemented on the UCF Balloon dataset [38]. In this video, a camera is mounted on a balloon and is monitoring a parked car. The result of object centric stabilization is shown in the Figure 7.1.

The raw frame from the video is shown in the left image, the affine transformed image of the particular frame on view is is shown in the middle image and the frame after smoothing is shown at the right. The blue bounding box, drawn on same location on all three images, is the position of the car in the first frame. The green bounding box shows the current bounding box for the car. Notice there is a large amount of camera motion in this video as the car has moved in all directions away from the initial position (in blue). The camera motion is so abrupt that the image moves in different positions in the successive frames. The video stabilization brings the car back to its original position as seen in first frame and provides a smooth playback.

7.2 Usuage of Heatmap

Detections and tracking results are utilized with the kernel density estimation to highlight the most probable routes [39]. The Figure 7.3 7.2 clearly shows that the people mostly use the walkways to reach their destinations. Even within the walkway there are preferred paths such as straight way from exit of the building to the parking garage. Small portion of the walkway is utilized by pedestrian.

The heat maps from the ground truth (obtained from the first 33 seconds of the video only) shows a thin line used by the pedestrian, However the heat map for Haar LBP and the combined







Figure 7.1: Video stabilization at two different frames. The first images in both (a) and (b) show the actual frame obtained from the UAS. These images shows that the car is located at the random location in the field of view. The blue bounding box, as shown in all frames, is position of the car in first frame and green bounding box is the detection of car in current frame. The object-centric stabilization goal is to maintain the position of the car in the blue bounding box during tracking as shown in the second image. The third image provides the smoothed version for pleasing video playback.

detector was obtained for the same frame using 30 different thresholds. Both Haar and LBP detector seems to be confused more by the vertical lines, trees, LBP detector shows more continuous path, indicating its high detection rate. The combined Haar and LBP detector was reduce the false positive. Our result shows that Haar did a fairly good job than any other detectors in detecting one of the two occluded pedestrian at the bottom right corner of the image. Combining the track over Haar and LBP detector accurately maps the detector along the main walking area, but on the other hand also increased the false detections too. GMM shows that the most likely area of pedestrians occurrence to be almost equally probable than any other detectors.



(a) Overlay Heatmap-Ground Truth





Figure 7.2: Learning usage routes based of detection and tracking data. The ground truth Figure a, b clearly show tight usage patterns. Due to errors is detection and tracking, the heatmaps generated using LBP and Haar are not as crisp, but still represent the scene well. Notice that in both LBP and Haar, the false detections on a tree results in a high probability location.



(a) Overlay Heatmap-Haar+LBP



(c) Overlay Heatmap-Haar+LBP+Track



(b) Probability Density-Haar+LBP



(d) Probability Density-Haar+LBP+Track



(e) Overlay heatmap-Motion

(f) Probability Density-Motion

Figure 7.3: Learning usage routes based of detection and tracking data. Figure 7.3a shows less detections and 7.3a shows added false detections. f shows that certain parts are almost equally probable to have pedestrians.

Chapter 8

Edge Neural Network for False Rejection

The accuracy of the detector can be improved if false detections can be reduced. The pedestrian detectors that were evaluated were occasionally confused with a lamp post, trees and the concrete spacing lines in the walkway. In other words, the most common confusion was still with the shape of the objects in the search window. The performance of the detector can be improved if those frequent confusions can be addressed. In order to address the confusion, a two-layer error back propagation neural network has been implemented as shown in Figure 8.1. The network was designed with 805 edge features in the form of 1's and -1 as inputs, 20 nodes in hidden layer and 2 output nodes. Input to this neural network was the canny edge information from each detection. The neural network classifies as either a true or false detection based on edge information.

A cropped image is obtained from the original image with a detection bounding box to begin processing. The canny edge detector was applied to each sub-image and the resulting edge image is used as input the neural network for training. Each detection was compared with ground truth information using the 50% overlap criteria to determine if the training example would be a positive or negative example for training. The details for the false detection rejection system is summarized in algorithm 8.1.

The edge information and label was input to the neural network for training over the first 800 frames using the LBP detector. The final 200 frames were used for testing. The learning curve in



Figure 8.1: Two-layer error back propagation neural network

Figure 8.2a was obtained after training for for 2000 iterations. This Figure shows that the error of the system converges rapidly towards 0 making this a viable false rejection technique. A few results of the adaptive false positive rejection algorithm is shown in Figure 8.2. The results demonstrate how many false detections on the light post at the bottom of the scene are rejected. However there are examples where true pedestrians are rejected Figure 8.2b and some false detections are kept in high noise scenarios Figure 8.2d.

Algorithm	8.1:	An	adaptive	algorithm	to	reduce	false	detection
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- Compare the detector output with the ground truth with the 50% overlap criteria. Create a label to indicate weather it is a true detection or false detection.
- Crop the detected object from the input image with a small amount of padding. Extract the Canny edge features form the cropped image and turn the edge matrix into a vector.
- Setup an adaptive system (Two-layer NN) which takes the edge vector as inputs and the label as the desired signals and adjust its weight based on the error.
- Follow the procedure described above until the error of the adaptive system is minimized sufficiently. The weights obtained at this stage are the final weights for the rejection classifier.
- The weights obtained at this stage can be now used to make the decision whether the particular detection is a false positive or not.



(c) Missed Detection



(b) Perfect False Rejection



(d) Incomplete Rejection in Noise

Figure 8.2: Result of adaptive algorithm for false positive rejection. With false positive rejection, the system is more robust to the commonly occurring false detections. (a) The learning curve shows how the adaptive system converges to learn optimal classifier weights. (b) Both pedestrians are correctly detected and all the false detections on the post and walkway are perfectly rejected. (c) One of the true detections is missed. (d) Noisy image with improved performance but still two false detections (one on the tree).

Chapter 9

Results and Discussion

In this section an evaluation of different color-based pedestrian detection algorithms are compared on the UNLV Thermal-Color Pedestrian Dataset. The evaluation compares the detection accuracy and false detections and computational efficiency. Kalman filter and Optical flow tracking were compared based on their speed, and number of tracks. Images of the detection and tracking results are also shown in this chapter.

9.1 Performance Metrics and ROC Curve

In order to evaluate the performance of the detection and tracking system, 1011 frames from UNLV Color Thermal dataset was manually annotated. The detector (Haar or LBP) performance at a particular operating point (i.e. a specific detection threshold) is evaluated using the following measures:

- 1. True Positive Detection: For each detection bounding box, if there exist a matching bounding box in the ground truth, then the corresponding detection is treated as a true positive. A bounding box match requires an overlap greater than or equal to 50% Equation (5.9).
- 2. False Positive Detection: For all detections in a frame, if there exists some bounding boxes that do not have any corresponding bounding box in the ground truth with area of overlap $\geq 50\%$, then those detections are treated as false positives.
- 3. False Negative: Any ground truth pedestrian having no corresponding bounding box from the detector with the 50% overlap rule is a false negative. This is also called a missed detection.

- 4. True Positive Rate (TPR): It is the ratio of total number of True Positives detected in the entire dataset and the total number positives from the ground truth.
- 5. False Positives Per Frame (FPPF): Ratio of total number of false positives and the number of frames. This is used instead of the more traditional false positive rate (FPR) since it better reflects performance for video.
- 6. False Negatives Per Frame (FNPF): Total number of missed detections divided by total number of frames.

A strong system should have TPR close to 1 and FPPF and FNPF close to 0. This would indicate that in each frame only the true pedestrians were detected without any mistakes. A more detailed comparison of performance over various detector settings can be viewed in a graph form using the receiver operating characteristics (ROC) curve. The curve is generated by plotting TPR against FPR. However for the detection and the tracking system in video, the curve is generated by plotting TPR against FPPF. The ROC curves gives the visual overview of each detector over different thresholds. A good detector will have the curve rising rapidly towards the upper left corner which indicates better performance over a wider range of operational settings. The system threshold condition for the desired outcome (fixed FPPF or desired TPR) can be obtained from the curve for a fair comparison between detectors.

9.2 Performance Evaluation for Detectors on UNLV dataset

The performance of the whole detection and the tracking system was evaluated on the annotated UNLV Thermal dataset using gray channel. The performance results are shown in table 9.1 (Note: these give the results at highest TPR). The Table 9.1 shows that the both the Haar and the LBP detector are almost detecting an equal number of pedestrians correctly, however the false detection per frame in the Haar detector is higher than in comparison to the LBP detector. The third detector (HAAR+LBP), which combines the detection of Haar and LBP with the 50% overlap rule, is able to reduce the false positive per frame slightly, but it comes at the cost of reduced TPR, because some of the detections found by Haar will be missed by the LBP detector or vice versa. When the detector was combined with tracking, performance of the system increases dramatically. The detector accuracy on Haar+LBP on green channel almost increased by 20% with a significant drop in false detection in FPPF and missed detections per frame. The motion based detection works

very well for the UNLV Thermal data since the camera is in a fixed position. The detector almost matches the best TPR of Haar+LBP with tracking and has the lowest FPPF.

Some examples of our detection result is shown in Figure 9.1. The top row shows the appearancebased detectors (Haar, LBP, Haar+LBP) while the next two rows show the background subtraction results. Notice the appearance-based detectors are not able to detect pedestrians that are cut-off at the edge of the image frame and can be confused by the tall skinny light post in the bottom middle of the frame. The background subtraction motion detector does a good job of finding moving pedestrians. However, it is sensitive so some pedestrians are not well segmented and compression artifacts result in false detections.

Detector	TPR	FPPF	FNPF
HAAR	0.4818	9.5143	2.6459
LBP	0.4845	6.7616	2.6291
HAAR+LBP	0.4211	2.8961	2.9407
KALMAN+HAAR+LBP	0.6803	1.0524	1.6291
GMM	0.6798	0.8683	1.6447

Table 9.1: Pedestrian detection and tracking performance

The corresponding ROC curves to further characterize the performance of the detection and the tracking system is shown in Figure 9.2. The plot clearly shows that the LBP detector has better performance in comparison to Haar detector. The Haar detector has the highest total FPPF of all detectors. The combined Haar+LBP detector lowers the FPPF and slightly bumps up the performance over just Haar. By adding tracking over this fused detector, the TPR increases greatly up to a maximum of 0.68 which is the highest of all the compared detection schemes. This highlights how incorporating the tracking system increases the confidence in the detection of true objects in the scene. The GMM-based background subtraction motion detector has a similarly high TPR but the lowest FPPF rates. This classifier does much better than any of the other detectors but is applicable for stationary cameras which limits its flexibility for other scenarios like UAS surveillance.



(e)

Figure 9.1: Detection result. Detection from Haar, LBP, combined Haar and LBP, and GMM. (a), (b), (c) shows the Haar, LBP and combined detector in green, red and blue bounding box. Some of the true pedestrians are missed when they are not fully in the video frame while some non-pedestrian regions are mistakes (such as the light post). (d), (e) show the detection results from the background subtraction method. The first image provides the background image which slowly evolves in time. The second image is the extracted foreground regions while the third image has the detected objects outlined in green bounding boxes. Some noise detections can be observed in (e) from compression artifacts.



Figure 9.2: ROC of pedestrian detectors. ROC to show the performance of pedestrian detection. It shows that LBP has better performance over the Haar detector. The Haar -LBP detector reduces the FPPF to less than 3, and incorporating the tracking system with combined detector dramatically raises the system performance. The GMM-based motion detection system has the best results of all in the static camera scenario.

9.3 Performance Evaluation for Trackers on UNLV dataset

In order to compare the performance of the tracking system, we consider the number of times a particular pedestrian is actually detected, known as the total visible count (TVC), how long the track exist i.e. age of the track (Age), and the execution time for tracking during 1010 frames. Table 9.2 shows the time of execution, both age and the TVC greater than 50 and 100 and Table 9.3 shows the top 5 tracks that exist for a longer period of time for detailed comparison. Examples of the tracking results can be found in Figure 9.3.

Table 9.2: Comparing tracking methods based on the total visible count and age of the track

Tracking	Total Tracks	Time (Sec)	TVC > 50	Age > 50	TVC > 100	Age > 100
Kalman Filter(Haar+LBP)	128	204.901	13	22	7	12
Kalman Filter(LBP)	145	82.5995	57	53	35	42
Optical Flow	27	121.844	6	7	4	4

As shown in Table 9.2, the Kalman filter based tracking with LBP detector is faster than any



Figure 9.3: Example images of tracking results. (a)-(f) show the tracking results using Kalman filter for 6 consecutive frames. The tracking trajectory is shown by a red path and a detection by a green bounding box. Notice that even if the detection is lost, tracking helps to locate the pedestrian successfully. (g)-(l) shows the tracking result using optical flow and background subtraction for 6 consecutive frames.

other tracker. However, it still it takes around 2.5 seconds to process a second worth of video meaning it does not currently operate in real-time. In addition, this tracker keeps tracking some noisy trajectories for a longer period of time since there are a high number of total tracks and large number with high Age. Even though the Haar+LBP based tracker had better performance in terms of TVC and Age of the track, its implementation is very slow since it must run both the LBP and Haar detectors separately before combination. The optical flow tracker is most efficient because it only has a limited number of tracks, few false detections from background subtraction, therefore the computation time is not extraordinarily high.

	Kalman+Haar+LBP		Kalmar	n on LBP	Optical Flow		
S.N.	TVC	Age	TVC	Age	TVC	Age	
1	303	310	377	395	504	514	
2	255	299	298	343	315	326	
3	229	268	253	317	201	213	
4	157	256	201	249	182	182	
5	115	219	175	198	63	65	

Table 9.3: List of top 5 tracks from both Kalman filter and optical flow

The large difference between the TVC and Age of the track in table 9.3 shows that Kalman filter can effectively estimate the position of a pedestrian even if the detection is lost. The motion-based detecting method is more consistent in detection thus resulting in fewer number of tracks and small gap between the age and the Age and TVC. The motion-based model in some frames detects a large amount of motion because of noise along with objects of interest. These noisy detections have no corner features making it possible for them to be rejected by the optical flow algorithm (hence the smaller number of tracks).

Chapter 10

Conclusion and Future Work

10.1 Summary of Works

In this report, we collected the UNLV Color Thermal Pedestrian Dataset to provide a surveillancelevel view of pedestrians for different computer vision algorithm. Approximately 1000 frames of thermal video was manually annotated for benchmarking of thermal detection techniques.

We implemented different boosted cascade pedestrian detectors using Haar features, texture based LBP, and a Haar-LBP combination along with motion detection with background detection. The detection evaluation result of detection show the LBP is most effective at reducing false positive than Haar while the combination of Haar-LBP significantly lowered the FPR but at a cost of lower TRP. Incorporating Kalman tracking on top of Haar and LBP not only increases the TPR but also provides a more pleasing continuous activity heatmap However, tracking did increase the FPR. Results show that the background subtraction-based detection had higher TRP at low FPR than Haar, LBP, their combination along with Kalman filter. Haar and LBP detectors, trained with limited training samples and tested on the entirely different dataset, were occasionally confused with the shape of the lamp post and the trunk of tree (the shape being almost similar to that of a pedestrian when looked through a window) giving a large false detection. Thus, it can be concluded that motion based detectors have better performance than poorly trained appearance based detectors in thermal images when the camera used is static in nature and target are moving. However, in case of detecting the stationery targets, combination of Haar, LBP and Kalman filter will outperform background subtraction based detectors. An evaluation of tracking techniques examined Kalman filter over detections from LBP and combined Haar+LBP and optical flow for motion detection. Results demonstrated that objects were easily tracked using a simple motion model or using features. Kalman filter was shown to have faster execution time over optical flow but would track false positives for a longer time period. The Kalman filter was better able to predict a pedestrian position even if the detection was lost for a longer period of time. Optical flow was found to be better at rejecting noisy detections which tended not to have important corner features to track.

Finally we demonstrated a method to identify and visualize pedestrian behavior and describe a surveillance scene using detection and tracking results. We demonstrate that the usage routes of pedestrians are small with respect to space. An adaptive learning algorithm was developed to correct and reject false detections and improve detector performance.

10.2 Future Work

Video surveillance in multispectral images is a growing and an important topic for future research. Some suggestions to improve the surveillance system based on our results are described below:

- Since there are not many publicly available datasets for aerial multispectral images, the first recommendation is to collect more thermal imagery datasets. It would be best to collect this in synchronization with visible spectrum cameras of the same scene for comparison purposes.
- In order to improve the performance of the Haar and LBP detector, the detector should be trained with a larger number of positive samples and using non-pedestrian thermal images for negative samples.
- The Kalman filter can be used to predict the position of a pedestrian in a future frame. When the detector fails, the prediction can be used re-check (with more complex classifier) an area when there is a miss and no match of a track to ensure fewer missed detections.
- Develop elegant methods to combine motion and appearance detection techniques to have the benefits of both.
- Integrate thermal images with the visible light images for detection and tracking fusion.
- More complex techniques such as deformable parts model (DPM) for detection and particle filters for tracking should be examined since the DPM better handles object deformations

and particle filtering is independent of a motion model and can handle fast motions that may be encountered in UAS operation from zooms and gimbals.

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