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Smart Data Collection Using Mobile Devices To Improve Transportation Systems

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SMART DATA COLLECTION USING MOBILE DEVICES TO IMPROVE
TRANSPORTATION SYSTEMS

by

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Abstract

Travel time is a matter that affects most of us, especially those that live in highly congested cities. Ideally, we want to reduce travel time as much as possible, thereby freeing up more of our time and enabling a higher quality of life. Data collection of transportation metrics helps us get a clearer picture of the transportation system, and helps us make smarter choices when it comes to improving the existing system. The rapid emergence of interconnected mobile devices carried along by travelers opens up many possibilities for gathering data as they travel, and also to serve them relevant data so that they can make smarter choices when it comes to their traveling. It is estimated that the market share of smartphones would continue to grow for the foreseeable future, making it a rich source for data collection at large scale. Therefore, with the goal of minimizing travel time in mind, we explore various means of making use of these mobile devices to collect data and ultimately improve transportation systems. In particular, we report on the evolution of data collection in the area of transportation research, report on novel case studies in this area, and report in depth on one implementation of a smart data collection application.
I would like to thank Dr. Taghva for his guidance, patience, and support throughout the project. Dr. Taghva gave me the basis to start working on the application that is discussed in this paper, and was helpful in working through the challenges in the project. I would also like to thank my father for showing me the value of education, discipline, and humility. I would like to thank my mother for her continued support and encouragement to complete my studies. Finally, I would like to thank many friends that listened to what I was working on, gave back encouragement and ideas, and helped me proofread the paper.

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Chapter 1

Introduction

The prevalence of smart mobile devices among motorists opens up possibilities for improving transportation systems. These mobile devices are equipped with many instruments for data gathering, some of which include the GPS chip, proximity sensor, ambient light sensor, accelerometer, magnetometer, and gyroscope [1]. Some areas for improvement we consider in this paper include using the phone directly as a data input device instead of using paper forms, using real time data from the phone’s GPS to monitor traffic instead of expensive sensors installed on roadways, and usage of smartphone sensors to get a more realistic and accurate picture of the transportation system. The approach taken in this study was to research and report on case studies involving the usage of smartphone sensor data to improve transportation systems, and then describe one implementation of a smart data collection application.

This area of research is interesting because smartphone usage is increasing, the cost of data connection is decreasing, the speed of data connection is increasing, and roadway congestion continues to be a major problem in highly populated areas. Even a small improvement in travel time can have a huge impact on the overall transportation system, and can bring big savings in resources for the entire population. It is relatively expensive to install physical devices on roadways, while smartphone data can reduce the costs and also give more accurate predictions. Furthermore, the usage of smartphone data to replace already existing roadside sensors can reduce maintenance costs for the governing authorities in the long run. Additionally the increase in the amount of sensor data available increases the demand for smarter ways of collecting the data and processing it for useful purposes. There are still too many cases where pen and paper are used as the means of data gathering, when it is much more efficient to make use of mobile devices.

In chapter two we give a summary of previous work in the literature, and explore the subject of smart data collection as it pertains to transportation research. We briefly explore various means of smart data collection methods that have been used in the past, including the usage
of radio frequency identification transponders, automatic license plate recognition systems, GPS and/or Automatic Vehicle Location technology built into existing fleets of vehicles, GPS enabled smartphone, and wireless sensor nodes. Manual data collection strategies that were used for many decades in the past are also discussed to compare and contrast with the newer methods that are being implemented. Traffic monitoring using existing cell tower information is also considered because of its potential to be used for traffic monitoring even without smartphones with data connections. Finally, we report on various projects that were already implemented by state transportation departments to make use of smartphones to improve transportation systems.

In chapter three we report a bit more in depth on five very impressive case studies in the use of smartphones to improve transportation systems. ParkSense is an application that uses a novel Wi-Fi signature matching technique to automatically detect when a user has vacated a parking spot. Nericell is an application that makes use of many sensors in the phone to detect road conditions. For instance, the accelerometer was used to detect braking, potholes, and bumps on the road, while the microphone was used to detect honking. Mobile Millennium was a large scale joint effort by organizations from the public sector, private sector, and academia to create a system that could use GPS traces from smartphones to give real time traffic predictions. Much like the Mobile Millennium project, Google traffic estimates also make use of GPS data sent from users of their mobile mapping applications to output real time traffic estimates. Finally, we consider a novel approach to predicting bus arrival times using data collected by on-board passenger smartphones.

In chapter four we discuss the implementation of a smart data collection application. The purpose of this application was to facilitate the collection of data at traffic incident situations by making use of smartphones. It was expected that the implemented smart data collection application would reduce the time taken for data collection, and increase the accuracy of the data collected. Several experiments were conducted to help test this hypothesis. We report on the test results from the experiments and the analysis of the results. Also discussed in this chapter is the technical design and implementation details of the application. Finally, we report on the limitations and problems with this solution, and consider means of overcoming the limitations and improving the prototype so that it is reliable enough for real world use.
Chapter 2

Background

In this chapter, the topic of smart data collection with respect to transportation systems is defined and explored. Manual data collection strategies are also discussed to compare and contrast with the newer means of data collection. Previous studies and projects in this field are referenced throughout for further research.

2.1 Smart Data Collection

In this paper, we consider that smart data collection with respect to transportation systems is to use automated systems to collect, process, and report data. Furthermore, this paper is focused on the usage of smartphones as the means for the data collection. This is in contrast to data collection by means of human involvement and manual calculations.

One of the smart data collection methods in transportation systems involve using radio-frequency identification (RFID) transponders to track travel time. Examples of such systems include Fastrak in California and the EZ-Pass on the East Coast [2]. The system works by using readers installed on the roadside, and when the vehicle containing the transponder crosses that location the time is recorded. Therefore, the travel time and speed between two readers can be measured. However, there are many disadvantages to this method, one being the high cost involved in installing the reader, leading to poor coverage. In one study, it was estimated that the capital cost for one detector site is between $18,000 to $38,000, and the capital cost for the operation center between $37,000 and $86,000 [2]. Second disadvantage is that only travel time between two locations can be obtained, even then the instantaneous velocity between the two locations cannot be obtained. The advantage in this method is that it is a very reliable and straight-forward method for calculating travel time and speed, and if a city already has detector sites installed for tolling purposes, then this method is cost efficient.

Another smart data collection method in transportation systems is the use of license plate
recognition (LPR) systems, where cameras deployed along the roadway use image processing techniques to match up vehicles as they cross over sensors. An example of such a system is the Oregon Department of Transportation’s (ODOT) Frontier Project [3]. In that project, the ODOT deployed the video processing system with license plate recognition software on a 25 mile section of rural highway in Oregon. A total of six license plate recognition cameras were deployed, three in each direction, resulting in long segments between the cameras. Consequently the travel time predictions given by the system could be very inaccurate for vehicles just entering the segment. They compared the travel times predicted by their system to actual probe vehicles equipped with GPS devices and found that the results were not statistically different. However, they further recommended that the system be tested in more congested traffic conditions [3]. The disadvantages in this system are similar to that of RFID systems. It is costly to cover a wide range. And since travel time can only be calculated as a vehicle crosses the end goal, the conditions in the middle of the segment cannot be accurately predicted.

Usage of Global Positioning System (GPS) devices on vehicles can be used for smart data collection purposes. Fleets of vehicles that are already equipped with GPS or Automatic Vehicle Location (AVL) technology such as FedEx, UPS Trucks, taxis, buses, or patrol vehicles can be used as probes to gather travel time data. This a smart data collection method because the primary goal of such fleets of vehicles was not to gather traffic data, and the process of collecting the data is automatic. In one previous study, the researchers studied using Freeway Service Patrol (FSP) vehicles in Los Angeles County as probe vehicles. The FSP vehicles were equipped with a Mobile Data Terminal (MDT), which gets polled by the AVL system [4]. The goal was to determine how the data from these vehicles can actually be used for estimating traffic conditions, how to use the data to augment existing loop detector data, and determine infrastructural requirements that are necessary to make things possible. The study concluded that it was difficult or impossible to use FSP vehicles to infer ambient speed. There was a significant difference between FSP vehicle speeds and floating car speeds, and the differences in speed did not appear to be systematic [4].

Usage of GPS enabled smartphones is now one of the most promising methods of sensing traffic conditions. We look at several case studies that use this method later on in the paper. In addition to speed and travel time information, other quantities like instantaneous velocity, acceleration, and direction of travel can also be inferred [5]. The prevalence of smartphones enables a wide area of coverage of the transportation network, and this method is foreseeable to be used at a global scale. The main drawbacks to this method are the increased energy consumption of the handset, and the privacy concerns of the individual sending the data. These drawbacks can be achieved with different sampling strategies, several of which were explored in the mobile century field experiment [5].

Wireless sensor nodes installed on road sides are another means of smart data collection. In
In particular, the SFPark project uses wireless sensor nodes installed into asphalt at parking spots in order to detect whether the parking spot is occupied or not [6]. Commercial vendors like Streetline use similar technology to show parking availability in more than 20 cities [7]. However, the downside to these technologies is that its high cost makes it unlikely to be deployed at a large scale. The SFPark program proposed to cover 25% of the parking spots in San Francisco at an initial cost of $19.8 million [6]. The ParkNet project [8], proposed to install a GPS receiver and a passenger-side-facing ultrasonic range finder on probe vehicles to detect vacant parking spots. After conducting a trial run on 500 San Francisco taxicabs, they found that the system would provide adequate coverage and cost savings by a factor of 10-15 compared to the SFPark project. On the other hand, pay-by-phone parking applications, like ParkMobile [9] and PaybyPhone [10], are gaining traction and widespread usage in many cities. These applications have some relevant data on the usage of parking spots, but the data is not complete. There were studies that looked to combine data from these pay-by-phone parking applications and sensor data from smartphones to get a more accurate picture of parking availability.

Lastly, using data from automated spot speed collection devices to extrapolate traffic speeds and travel times could be considered another form of smart data collection. The most widely used spot speed detection device is the inductance loop detector [11]. The inductance loop detector system, figure 2.1 from [12], consists of wire loops wound in a shallow slot sawed in pavement, a lead-in cable from the curbside pull box to the intersection controller cabinet, and an electronics unit housed in a nearby controller cabinet [13]. It works by detecting a decrease in the inductance of the loop as a vehicle passes over or stops within the detection area [13]. The most accurate method for calculating the speed with loop detectors is to use two loop detectors in series, known as a “speed trap.” The accuracy of this method depends on the length of the trap. According to a study, done by the Texas Transportation Institute, the optimal length for the speed trap was 9m (30ft) [14]. Furthermore, they found that the average error of the speed estimate was about 1.5mph for an optimal speed trap. There are numerous other devices that are capable of collecting spot speeds including piezoelectric sensors, infrared sensors, magnetic sensors, video tracking systems, doppler microwave, passive acoustic sensors, and pulse ultrasonic detectors [15].

### 2.2 Manual Data Collection Strategies

The most basic approach used in the past was to use test vehicles in which a passenger on board would manually record elapsed time at predefined checkpoints. The Highway Congestion Monitoring Program (HICOMP), which was carried out by the California Department of Transportation is an example of this technique [16]. The driver would vary his driving style to match either the average car, the maximum car (traveling at speed limit unless impeded by traffic), or floating car
(driver attempts to pass as many cars as cars that passed the test vehicle). The floating car method is the most commonly used style, and the checkpoints at which data is gathered are usually spread over 0.25 to 0.5 miles [11].

In the test vehicle method, it is important to calculate the sample size \( n \) of test vehicles needed to get an acceptable estimate. Equation 2.1 is the standard sample size equation, where \( t \) is the \( t \)-statistic for the specific confidence level, \( s \) is the standard deviation of travel time, and \( \epsilon \) is the maximum specified allowable error which is a percentage value in the range of 10-15 percent.

Equations 2.2 and 2.3 show the coefficient of variation and relative allowable error respectively where \( \bar{x} \) is the mean travel time. The relative allowable error is expressed as a percentage, usually in the range of 5-10 percent [11]. Equation 2.4 can be used if the sample size is 30 or more, and here the \( z \) value is from the normal distribution table. After the sample size is determined, it is important to distribute the sample runs evenly throughout the time interval [11].

\[
Sample \ Size, \quad n = \left( \frac{t \cdot s}{\epsilon} \right)^2 \tag{2.1}
\]

\[
Coefficient \ of \ Variation, \quad c.v. = \frac{s}{\bar{x}} \tag{2.2}
\]

\[
Relative \ Error, \quad e = \frac{\epsilon}{\bar{x}} \tag{2.3}
\]

\[
Sample \ Size, \quad n = \left( \frac{z \cdot c.v.}{e} \right)^2 \tag{2.4}
\]

Other statistics of interest include average travel time (equation 2.5), and average speed (equation 2.6). In these equations, \( n \) is the total number of travel times reported, and \( d \) is the vehicle
distance traveled.

\[ \text{Average Travel Time}, \quad \bar{t} = \frac{\sum_{i=1}^{n} t_i}{n} \quad (2.5) \]

\[ \text{Space - Mean Speed,} \quad \bar{v}_{SMS} = \frac{\text{distance traveled}}{\text{avg. travel time}} = \frac{n \cdot d}{\sum t_i} \quad (2.6) \]

Furthermore, standard deviation (equation 2.7) of travel time is of interest because it provides information about the variability of traffic conditions.

\[ \text{standard deviation,} \quad s = \sqrt{\frac{\sum_{i=1}^{n} (t_i - \bar{t})^2}{n - 1}} \quad (2.7) \]

The equipment used in this method of data collection typically include pen, paper, audio recorder, portable computer, stopwatch, clipboard, data sheets, and data collection software. However, over the years there have been improvements. Distance measuring instruments (DMI) can be used to determine travel distances. Original DMI units, in the area of transportation research, used magnetic wheel sensors to measure revolutions. However, these instruments were not very reliable because it had to be calibrated often, and sometimes the wheel sensors would fall off or unbalance the wheel. DMI technology was improved such that it would sense pulses from the transmission and record the information directly using a portable laptop. The received pulse information could be translated into distance and speed measurements. GPS devices have also been used to calculate travel times. The GPS, which is connected to a portable computer, is used to collect latitude and longitude data and then determine speed and distance [11].

The advantage in the manual method of data collection is that it requires no special equipment, and it does not require a high skill level. However, there are a lot of disadvantages. There is the labor requirement of the driver and the observer. It has a low level of detail because the data collection is done by humans on few sample runs. Human involvement also means there is greater room for error in collecting the data. There are also the costs involved in the test vehicle, data entry personnel, and supervisory personnel.

Usage of aerial surveys is also a manual data collection method that has been used to measure traffic flow. Traffic densities can be estimated from consecutive aerial photographs taken as a plane flies along a corridor. Vehicles in the consecutive images can be counted, and since the distance between the images is known, the corresponding traffic density can be estimated. There is the potential to automate some parts of this method. For instance, satellite imagery could be obtained, and image processing techniques could be used to gather traffic density information. Furthermore, advanced image processing techniques could match or track a vehicle through consecutive images and provide travel time estimates. In this regard, researchers at Georgia Institute of Technology...
have been testing and studying the usage of drones to monitor traffic conditions, and to provide live video and sound from scenes [17].

### 2.3 Traffic Monitoring using Cell Tower Information

It is worth noting that, even before the prevalence of sensor enabled smartphones, there have been several studies that were conducted to use cell tower information to identify the location of the handset [18], and thereby using the location changes of the handset to estimate traffic conditions. The studies conducted show that cell tower based location tracking is possible with GSM, CDMA, 3G, and even Analog based mobile phones. The wider the bandwidth, the more conducive it is to calculate the location. And since the trend in telephone standards from analog to GSM/CDMA to 3G has been to widen the bandwidth, this method of calculating position remains promising into the future [19].

The advantage of this method is that more of the population can participate in sharing traffic related data because this method does not require a smartphone. It makes use of the existing infrastructure of the cellular telephone systems, and does not require extra infrastructural costs. In urban areas with high traffic there tends to be more cell towers, enabling a more accurate location estimate. Furthermore, this method is also a lot more power efficient than GPS based tracking methods. The major disadvantage of this technique is that it is not very accurate at locating the device, and hence makes it difficult to estimate speed of the motorist. In particular, instantaneous velocity at a specific point in time is very difficult to capture. However, it may be possible to estimate instantaneous velocity using Doppler measurements on CDMA based phones [5].

Cell tower based traffic monitoring is largely made possible thanks to Federal Communications Commission’s E-911 (Phase II) mandate, which requires that wireless service providers must provide the location of the incoming wireless call to the Public Safety Answering Points (PSAPs) [20]. The accuracy of the reported location needs to be within 50 to 300 meters. For network-based location tracking it requires an accuracy of 100 meters for 67% of the calls, and 300 meters for 95% of the calls. For handset-based location tracking it requires 50 meters for 67% of calls, and 150 meters for 95% of calls. The E-911 mandate, which was established in 1996, required that all wireless licensees, broadband Personal Communications Services (PCS) licensees, and certain Specialized Mobile Radio (SMR) licensees meet this requirement by October 1, 2001 [19].

There are three main methods that can be used to derive position in a cellular system; they are signal profiling, angle-of-arrival, and timing measurements. Signal profiling matches the profile of the received signal to a database of measured signals to get an estimate of the location. The angle-of-arrival method calculates the angle of the received signal from the mobile device to two or more cell towers. The resulting intersection of these angles pinpoints the location of the device.
The timing measurement uses the time-of-arrival of received signals to calculate the distance. The arrival time is proportional to the travel distance of the signal. By taking timing measurements from two or more base stations it is possible to pinpoint the location. It is possible to combine the timing measurement technique with the angle-of-arrival technique, and derive position from a single base station [19].

The positioning techniques discussed above can be used along with self-positioning (handset based positioning) or remote-positioning (network based positioning). In the former, it is the responsibility of the handset to calculate the location based on reception signals from base stations. In the latter, it is the responsibility of the system to work in a co-operative manner to calculate the location of the device. The advantage of self-positioning is that it preserves privacy of the user. The main advantage of remote-positioning is that locations can be calculated on most types of existing phones, and the users would not need to upgrade their phone. One disadvantage of self-positioning is that it is not practical to use the signal profiling method because the device would need to carry along a large database that needs to be continuously updated. For both of these methods to be used for travel time estimation, there needs to be a Location Service Center to continuously gather the resulting location information from either the network or the device, and process it to calculate travel time [19].

2.4 DOT Implementations

The Tennessee Department of Transportation (TDOT) has implemented an intelligent transportation system called SmartWay. The system is composed of many components, including roadway traffic sensors, camera video surveillance, dynamic message signs, freeway service patrol vehicles, transportation management centers, and various means of distributing information back to motorists. They recently released the TDOT SmartWay mobile application for iOS and Android. This application allows customers to monitor traffic conditions, view live camera feeds, receive incident notifications, and even receive Amber Alerts. The system costs about $1.3 million to maintain annually [21].

The Federal Railroad Administration (FRA) launched an application to provide safety information on the nation’s highway-rail grade crossings. The goal of this application was to increase awareness of railway crossings around an individual in order to improve safety. The application also allows users to report information about grade crossings back to the FRA [22].

The Iowa Department of Transportation has implemented an application called “Iowa 511” to provide real-time traffic information to motorists. The application shows current traffic speeds in a zoom-able and scrollable map. Furthermore, users can look at live camera feeds, get updates on road work, and read text from electronic roadway signs. The 511 information is also made
available via a mobile website, a full desktop based website, telephone, social media websites, and XML feeds [23]. The Virginia Department of Transportation, Utah Department of Transportation, and North Dakota Department of Transportation also have a similar application to disseminate 511 information to motorists [24, 25, 26].

The Colorado Department of Transportation (CDOT) has launched real-time notifications of road conditions to users of its CDOT Mobile application. In order to not overburden the users with irrelevant notifications, the system will only generate notifications for major accidents, road closures, and other incidents that have significant impact on travel time. Also the notifications are sent only at peak travel times. The goal of providing real-time notifications is to give busy motorists, that would otherwise not check traffic alerts, relevant information regarding traffic conditions so they could pick an optimal route to their destination [27].

The United States Department of Transportation’s Intelligent Transportation Systems Joint Program office has initiatives focused on intelligent vehicles and intelligent infrastructure to create intelligent transportation systems. The Real-Time Data Capture and Management program is aimed at capturing data from connected vehicles, mobile devices, traffic management centers, automated vehicle location systems, toll facilities, parking facilities, and transit stations. Furthermore, this program explores means of distributing the collected data in real-time to relevant parties, and archiving the data for future analysis [28]. The data that is collected also enables the creation of mobile applications, which is the goal of their Dynamic Mobility Applications program. The Applications for the Environment: Real-Time Information Synthesis (AERIS) program is aimed more specifically at creating applications that facilitate “green” transportation choices by travelers and operators. The AERIS program explores five different application bundles: Eco-Signal Operations, Eco-Lanes, Low Emissions Zones, Eco-Traveler Information, and Eco-Integrated Corridor Management. Applications under the Eco-Traveler Information bundle help travelers pick the most eco-friendly route (minimizing fuel consumption), get fueling information, search for parking places, and get real-time driving advice in order to drive in a more fuel efficient manner [29].
Chapter 3

Case Studies

In this chapter, we report on five interesting case studies that showcase the usage of smartphone data to improve transportation systems. These projects come from a variety of sources, and give a good indication of the widespread research and development in this field.

3.1 Mobile Millennium & Mobile Century Field Experiment

Mobile Millennium project was one of the earliest broad scale projects aimed at making use of data collected from GPS sensors in cellular devices to monitor real time traffic conditions, and relay that data back to consumers. The project came about through close collaboration between partners in the public sector, private sector, and academia. In particular the partners involved were Nokia Research Center (NRC), California Center for Innovative Transportation (CCIT), and University of California at Berkeley. The project ran through a period of one year in the bay area, launching on November 10th, 2008, and ending on November 10th, 2009. During that time period, they had a mobile application that was available for consumers to participate in the program. It was one of the first consumer traffic estimation applications in the market, with more than 2000 registered users. The Mobile Millennium traffic monitoring system continues to be operational, and broadcasts highway and arterial traffic information in real-time using data gathered from various feeds. The Mobile Millennium project was an extension of an earlier project called the mobile century field experiment, which was also conducted by researchers at University of California at Berkeley [30].

The mobile century field experiment, which preceded the mobile millennium project, was used to determine the feasibility of leveraging existing GPS devices and communication network to create a traffic monitoring system. The system was composed of four layers: the GPS-enabled smartphones, the cellular network, data aggregation and traffic estimation servers, and information consumers. The experimenters utilized 100 vehicles equipped with Nokia N95 phones driving 10
mile loops for eight hours across a stretch of freeway near Union City in the San Francisco Bay Area. This section of highway was ideal for the experiment because it experienced both free-flow and congestion throughout the day, which allows the system to be tested under a variety of traffic conditions. Previous studies have shown that no more than 5% penetration of probe vehicles are necessary to get an accurate estimate of travel time. Given that about 6000 vehicles cross that section of freeway per hour and there are 100 test vehicles, the required cycle time was 20 minutes [5].

One of the areas the mobile century project explored was that of a sampling strategy with respect to GPS data. They identified two types of sampling strategies, temporal sampling and spatial sampling. Temporal sampling is where the vehicles report their information at time interval \( T \) regardless of their positions. And spatial sampling is where the vehicles report their information as they cross a spatially defined boundary. The former is more challenging because it presents a heavier communication load on the back end servers, and it does not preserve the privacy of the transmitting party. They chose the later approach, and devised Virtual Trip Lines (VTLs) as a means of achieving spatial sampling [5].

The application was designed to send travel time data from the phone to their servers as the motorist crossed one of the designated VTLs. Virtual trip lines are geographic markers across a roadway where each line is identified by two GPS locations. These VTLs are downloaded by the application for nearby locations, and stored locally on the device. The advantage in using VTLs is that it protects the privacy of the individuals sending the GPS data. It achieves this because the data points being sent are identified by the ID of the VTL, and not by the mobile device that generated the update. All data packets sent from a mobile device must contain mobile device identification information for billing purposes by the network provider. The mobile century system removes this information by first passing the requests through an ID proxy server, which authenticates each client and then removes the ID information [5].

The data being sent from the smartphones includes position, speed, direction of travel, and optionally travel time between two consecutive trip lines. This data gets aggregated by virtual trip lines, and gets fed into algorithms which run on traffic estimation servers at Berkeley. Since the traffic density cannot be extrapolated from the incoming data stream from smartphones, they were not able to use Lighthill-Whitman-Richards (LWR) partial differential equation (PDE) that is typically used in traffic theory. Instead, they came up with a new partial differential equation, based on the original LWR PDE, that is designed to take in velocity measurements as input. The resulting model was discretized using Godunov scheme so that the Ensemble Kalman Filtering (EnKF) approach could be used to estimate the velocity field in the highway [31]. Kalman Filtering (KF) and Particle Filtering (PF) are techniques that are used often for traffic state estimation, but the advantage of the method proposed in this project is that it combines the best of the two
approaches [31]. The resulting traffic estimates with the highest confidence gets pushed to the traffic report server, which is the endpoint for information consumers [5].

The experimental results were very encouraging, and the speed estimates closely matched the 511.org displays which combines data from loop detectors, FasTrak-equipped vehicles, and speed radars. Since the smartphones logged location data every T seconds as well, they were able to reconstruct VTLs at any point in the route. Specifically, they recreated VTLs at the 17 loop detector sites, and compared the velocity estimates between the two methods. Their finding was that the results matched very closely, showing that cell phone data was an adequate replacement for loop detector data. The ground truth velocity was calculated by using high definition video cameras and license plate recognition. The results showed that the VTL based data estimates were actually closer to the ground truth than the loop detector data. Another finding from this study was that a penetration rate of about 2-3% among the driving population would be sufficient to provide accurate measure of traffic flow [5]. This encouraging finding was one of the reasons the mobile millennium project came about.

3.2 Google Maps Traffic Estimates

The traffic estimates shown on Google maps 3.1 is a good example of collecting data from mobile devices to improve transportation efficiency. It uses crowd sourcing to gather data, sent from a multitude of mobile devices, to give an estimation of real time traffic conditions. Green indicates low congestion, yellow indicates medium congestion, and red indicates high levels of congestion [32]. The data comes in from Google Maps applications for mobile devices, if the device is GPS enabled. The data is collected continuously as the vehicle is in motion, and this stream of data is then aggregated to get an estimate of how fast the vehicle is moving. The data being reported from vehicular traffic is further aggregated to smooth out anomalies and outliers in the data. For example, a postman stopping more often than the average driver would be removed from the dataset [33].

Google has taken steps to ensure that data collected from the users does not compromise their privacy. First of all, the data being sent is anonymous, and thus cannot be used to pinpoint a specific person. When a variety of data is being reported, they combine the data to make it difficult to tell one device from another [33]. They also delete the start and end points from the route data being reported so that it is not possible to tell the complete route taken by the anonymous person. If the users are still wary of their privacy being compromised, they can opt-out of the data collection.

This system already has provided many benefits to users, and it also has tremendous potential for future improvements. One of the biggest benefits to the end user is being able to reduce time
taken to travel from point A to point B by avoiding roads that are congested. The mapping application allows the user to pick among several routes along with the estimated time it would take for the route. The user can also zoom-in on the map to see the colored traffic indicators overlaid on the roads. An area for improvement is if the application is able to divert traffic to alternate routes based on real time traffic estimates [33]. This would have a positive impact on the whole transportation grid. Another area for improvement would be giving an estimate on how long it would take the congestion to clear up; that way people could choose to delay their trip instead of waiting in traffic.

Figure 3.1: Google Maps Traffic Estimates.

3.3 Nericell

The Nericell project was aimed at monitoring road and traffic conditions using smartphones, with an emphasis on developing world environments where road conditions are more complex. In contrast to the Mobile Millennium project and Google Maps Traffic Estimates, this project uses sensors besides the GPS sensor. In particular, the accelerometer and microphone were used to infer road conditions and noisiness of traffic respectively. Additionally, it was also designed to sense bumps on the road, braking, and honking in order to infer traffic conditions [34].

The microphone was used as a sensor in this case to detect honking. They were careful not to transmit any audio to the server. Instead, they processed the audio samples to detect honks on the phone, and sent the processed information to the server. Simply detecting spikes in the audio samples was not sufficient to detect honks because honk sounds tend to be muted inside an enclosed
course, and also loud sounds could be mistaken to be a honk. Therefore, they opted for a heuristic-based approach. They were able to detect spikes in the energy level in the frequency domain of the audio sample. If the spike was between 5 and 10 times the mean, then they considered it a honk. According to their calculations this detection scheme was very energy efficient, only taking 58 ms of CPU time to detect honks in 1 second of audio [34].

The accelerometer was used as a bump detector. The challenge here was that the impact of the bump varied depending on the speed at which the vehicle was traveling. To mitigate this challenge, the researchers devised two separate detectors - one to be used at low speeds (< 25 kmph), and one to be used at high speeds (≥25 kmph). The bump detector for low speeds looks for a sustained dip vertically, reaching below a threshold T and lasting for at least 20 ms. The bump detector for high speeds looks for a steep rebounding spike vertically, as a result of the vehicle’s tire hitting the bottom of the pothole. The false positive rate for both detectors was very low (< 10%); however, the false negative rate for both detectors was high (20-30%) [34].

For brake detection, the researchers had the option of either using the GPS or the accelerometer for sensing. They opted to use the accelerometer because it consumes less power than the GPS. Also it is more challenging to detect brakes using the GPS at low speeds due to its localization error of 3-6 meters. If we assume the vehicle is traveling along the x-axis, then braking would make the accelerometer experience a force pushing it forward causing it to have a surge in the x-direction ($a_x$). Hence, to detect a brake, they computed the mean of $a_x$ over a period of N seconds. If the mean exceeds a threshold T then it is considered a brake. To measure the accuracy of this detection method they needed to establish ground truth. And for that purpose they used GPS based brake detection. They computed the instantaneous speed, and if the vehicle experienced a deceleration of at least 1m/s$^2$ sustained over at least 4 seconds then it was considered a brake. The results showed that the false negatives were low at 4-11%, while the false positives rates were quite high at 15-31% [34].

As the program detects various roadside conditions, it is important to mark the location where the conditions were detected. They considered GPS, WiFi, and GSM based techniques based on previous studies in outdoor localization. But GPS and WiFi options were discarded because they consume a lot of energy, and therefore it would be an impediment for user adoption of the application. They applied RSSI-based localization algorithms on GSM data as described in previous studies, but due to the high density of cell towers in Bangalore these algorithms were not very reliable. Instead, they used the simple approach of strongest signal based localization. This approach relies on a database of strongest signal tower IDs at a given latitude and longitude. The phone’s position could then be located via this database given the current strongest signal tower ID. The results from experimenting with this localization method showed that the median error for distance was 117 meters, and the median error for speed was 3.4 kmph [34].
3.4 ParkSense

ParkSense is a project aimed at using smartphone based sensors to detect if a driver has vacated a parking spot. Furthermore, the researchers wanted to keep the power consumption of the smartphone as low as possible in order to make the final solution more viable for real-world use [35].

This study is different from previous work in the field in many ways. Google OpenSpot is an application that attempt to find vacated parking spots by using crowd sourcing [36]. It lets the vacating driver manually submit that he or she is vacating a parking spot, thereby the querying users can see the vacated spot on a map. However, in practice very few users have been reporting vacated spots because there is no incentive to do so. Therefore, the application has rarely had up to date information for querying users. As discussed briefly in the smart data collection section 2.1, there are many projects aimed at using wireless sensor nodes to detect parking availability in real time. However, ParkSense does not rely on installation of additional sensors. It merely piggy backs on smartphone sensors that people are already carrying around.

Instead of creating a whole system from scratch, ParkSense seeks to augment existing pay-by-phone applications by providing real-time parking availability data. Since these pay-by-phone applications require the user to pay for the spot upon parking, otherwise the user would risk a parking ticket, it was possible to detect when a driver has parked at a spot. But these application were not able to accurately determine when a parking spot was vacated because in most cases the spot was vacated before the time has expired. Therefore the main objective for the ParkSense project was to detect when the user has vacated the spot. Furthermore, the detection process should be automated such that it requires no involvement from the user other than the initial installation of software on their smartphone [35].

They considered the many sensors available on the phone as possibilities with the goal of picking a sensor that minimizes power consumption while still being adequate enough to detect whether a parking spot has been vacated. They first considered the GPS sensor, which is one of the highest power consuming sensors on the phone. It is possible to detect when the driver has returned to the parking spot by continuously tracking the GPS location, and the subsequent rapid change in GPS location would indicate the user is driving away. But in addition to the power consumption worries, this sensor choice would not be very accurate in cases where the user parks the car and moves indoors quickly. The second sensing option would be to use a network based location API. In this method, the phone would scan the surrounding for Wi-Fi access point SSIDs, which gets sent over the network and looked up against a database to get the location information. However, this method only offers very coarse grained location tracking, and is limited by the existing database of SSIDs. The third option they considered was doing a passive scan for surrounding Wi-Fi access points to get a relative location estimate, and this option was selected because it was sufficient.
to meet the requirements and it was the most energy efficient. Furthermore, the accelerometer readings from the phone could have been used to detect whether the user is walking or driving, and then trigger the more energy expensive Wi-Fi based sensing as necessary [35].

The design of ParkSense uses Wi-Fi access point sensing to detect when a user has vacated a parking spot. After the user has paid for the parking spot using an existing pay-by-phone smartphone application, ParkSense captures the signature of the current location via a set of surrounding wireless access point SSIDs. This signature can be represented as follows, where $s_p(i)$ is the SSID and $w_p(i)$ is the reception ratio for access point $i$, and $n$ is the total number of access points observed.

$$S_p = \{s_p(1), s_p(2), ... s_p(n)\} \text{ and } W_p = \{w_p(1), w_p(2), ... w_p(n)\}$$

This signature does not get converted to GPS based location coordinates. But it is sufficient to meet the requirements of ParkSense, which is to detect when a user has returned to the parking space and detect when the user has vacated the parking space. As the user moves away from the vehicle, ParkSense continues to gather these signatures at regular intervals.

In order to detect the user has returned to the vehicle, ParkSense attempts to match the periodically generated signature against the starting signature (from the parked location). One of the difficulties they ran into in this process was that the wireless environment is continuously changing, even if the person and the mobile device is stationary. To get around this issue, they decided to use a set of normalized reception ratios $\hat{W}_p$ as follows, where $\hat{w}_p(i) = w_p(i) / \sum_i w_p(i)$

$$\hat{W}_p = \{\hat{w}_p(1), \hat{w}_p(2), ..., \hat{w}_p(n)\} \quad (3.1)$$

The following equations were used to define matching between the original signature $S_p$ and $W_p$ against the continuously generated signatures $S_t$ and $W_t$. Equation 3.2 was referred to as weighted, where $0 \leq M \leq 1$ and $l = |S_p \cap S_t|$. Equation 3.3 was referred to as the weighted difference because this function penalizes access points for differences between the originally observed and the currently observed values. Equation 3.4 does not take into account reception ratio at all, rather it calculates the percentage of original access points being observed.

$$M = \sum_{i=1}^{t} \hat{w}_p(i) \quad \text{for} \quad s_p(i) \in S_p \cap S_t \quad (3.2)$$

$$M = \sum_{i=1}^{t} \hat{w}_p(i)(1 - |w_p(i) - w_t(i)|) \quad (3.3)$$

$$M = \frac{|S_t \cap S_p|}{|S_p|} \quad (3.4)$$
In order to test whether the user has begun to drive away from the parking spot, they computed Jaccard similarity, equation 3.5, between successive signatures at regular intervals. The Jaccard similarity of two sets S and T is the ratio of the size of the intersection of S and T to the size of their union [37]. In this case the Jaccard similarity is the ratio of the number of access points in common between the two signatures to the total number of access points in the two signatures. As the vehicle increases in speed the Jaccard similarity is smaller.

\[
J = \frac{|S_t \cap S_{t-1}|}{|S_t \cup S_{t-1}|}
\]  

(3.5)

The results from experimentation were encouraging, but there are some scenarios in which this system is not effective. If the user parks the car and then drives away in a friend’s car close by, then ParkSense will falsely detect a vacant spot. And if the person paying for the parking is not the person that unparks the car, then ParkSense could not detect the unparking event.

3.5 Predicting Bus Arrival Time

The aim of this project was to predict bus arrival time using mobile phones that the passengers carry. Although bus companies provide a schedule on bus arrival time at each bus stop, this time could vary for a number of reasons. The current traffic conditions might cause a slow down, perhaps the bus was involved in a traffic accident, or perhaps the printed schedules are out of date. Previous studies in this field of transit tracking systems largely relied on GPS enabled devices on board transit vehicles. In particular, EasyTracker [38] presented a self contained system where the transit agency would only have to install an EasyTracker enabled smartphone in each of their vehicles in order to get real time tracking.

The system was composed of three main components: querying users, sharing users, and back end servers. Querying users would use the mobile application to find the arrival time of the bus they are interested in. The sharing users are those that are traveling on buses with the mobile application installed on their smartphones. The back end servers are where the data from sharing users were processed and made available to querying users. The back end servers are first fed with data from the pre-processing stage, where the operators of the system would gather data on bus routes and their corresponding cell tower sequence [39].

The bus routes were identified by using cell tower sequences that the passengers on the bus would encounter along the bus route. The phone would typically connect to the tower with the strongest signal at a given location. However, when there are multiple cell towers with similar strengths, the phone could connect to either one of them. To get around this problem, the researchers decided to include the top three cell towers by signal strength when recording the cell tower sequence along the bus route. They were able to identify the cell towers by wardriving along
the bus route, and taking note of the cell tower IDs [39]. It may be possible to automate this process, whereby the driver would click the begin button at the start of the route to signal the application to start recording the cell tower IDs along the route and report back to the back end servers. This could be part of an initialization process a bus driver would have to complete to get that bus route into the system.

In order to make use of data sent from sharing users, it was necessary to first detect whether the sharing user is actually on a bus. There were previous studies that researched context awareness and transportation mode detection using mobile sensors. In [40], the authors were able to combine wireless on-body sensors with the additional sensors and computational power of smartphones to come up with a system that was able to classify a variety of postures and activities. However, those approaches were not applicable in this case to distinguish a user on a bus. The approach taken in this study was to use the microphone on the smartphone to sample the beeping sound given off by card readers deployed on buses to collect transit fees. Through experimentation it was found that a sampling rate of 8KHz was sufficient to detect the beep signal in the 1KHz and 3KHz frequency bands [39].

The cell tower ID based detection alone was not sufficient to distinguish between buses vs. rapid trains because the train routes have segments that overlap with bus routes. To further distinguish between these two modes of transportation the researchers made use of the accelerometer readings. The readings were evidence to the fact that rapid trains move at relatively stable speeds compared to buses which experience frequent acceleration and deceleration. They took measurements from the accelerometer at 12.5 second intervals, calculated the variance in acceleration during that window, and used a threshold to distinguish between the two modes of transportation (bus vs. rapid train) [39].

The researchers used a modified version of the Smith-Waterman algorithm, which is a dynamic programming algorithm for sequence matching [41], to match cell tower sequences coming from sharing users to the cell tower triplet sequences stored in the database. The matching process begins only if the sequence of cell tower IDs reported by the user exceeds a threshold value greater than seven. The reason being that since many of the bus routes overlap, a minimum of seven cell tower IDs are necessary to reliably distinguish between the different bus routes. Once the algorithm is triggered, it returns back a score for each of the routes in the database, and the route with the highest score is selected. If the highest score is less than a threshold value then the prediction is postponed as well [39].

Sometimes, the cell tower ID sequences sent by sharing users are not long enough to meet the minimum threshold of seven IDs. In that case, to still make use of that sequence, the back end server needed to concatenate sequences from sharing users until the sequence is sufficiently long. To accomplish that, the sharing users were made to send the time interval between audio beeps of
the card readers. The back end server would then be able to group incoming data by beep interval, and cell tower IDs. Thereby it was able to concatenate sequences until a sufficiently long sequence was formed [39].

The arrival time prediction is quite straightforward because they simply summed up the dwelling time in each of the segments, using historical data, from the current position to the queried bus stop. If dwelling time in cell $i$ is $T_i, 1 \leq i \leq n$, and the bus's current cell number is $k$, and the queried bus stop’s cell number is $q$, then the arrival time can be estimated by Equation 3.6.

$$T = \sum_{i=k}^{q-1} T_i - t_k + t_q$$  \hspace{1cm} (3.6)

There are some areas for improvement in the system to make it more reliable. One problem is that since the cell tower ID sequence has to be sufficiently long, it is not possible to get predictions for the first few bus stops. The system might have to resort to using historical data for those bus stops. Overlapped routes remain a challenge especially in downtown areas where several bus routes share significant overlapped segments [39].
Chapter 4

NDOT Smart Data Collection Application

The Nevada Department of Transportation (NDOT) is mandated to collect crash incidents data and report them to the federal government. This entails data collection using paper forms, and thereafter data entry into computer systems. Unfortunately, it is a very time consuming process and introduces errors in both the data collection and data entry. The form that is necessary to be filed for each accident is the SR1 form, and it is shown in 4.1. It lists the location information where the accident took place, and the parties that were involved in the accident. If more than two parties were involved, additional forms would need to be attached. There are three form fields for location information, and 27 form fields per each party involved in the accident. The officer on duty would be tasked with filling out this form at the time of the accident, and then upon return to the office it would have to be entered into an electronic system for storage and reporting purposes.

Therefore, we looked into creating an application that can reduce errors in data collection, and reduce the time taken for data collection. The final implementation involved creating a mobile application, taking advantage of sensors and input devices built into the phone, to reduce data entry but still derive all the data needed for the form.

The three location related form fields were extracted by using reverse geo-location. Out of the 27 form fields per party, 10 fields each were driver and owner information. This information was extracted by decoding the barcode found on the back side of Nevada driver licenses; details on this process is discussed later. Six of the fields were vehicle information, and four out of six of these fields (Year, Make, Body Type, VIN) were extracted by looking up information from an external API by using the Vehicle Identification Number (VIN). The license plate number and state could possibly have been extracted by using OCR on the license plate image, but in this case the user
was given text fields to type in that information.

4.1 Design & Implementation

After reviewing the requirements set forth by the NDOT, we considered multiple design possibilities to meet the requirements. It was understood that creating and deploying a production ready system was beyond the scope of the project considering resource constraints, and what was necessary was the creation of a prototype that demonstrated the viability of such a system.

The first idea was to create a Ruby on Rails based web application with a SQL based database back end to lookup driver information. One of the challenges we ran into with that idea was in gathering sample data and accurate database schema that we could use in our prototype application. It was possible to generate garbage data and make up a convenient database schema, but that would also lose any value in the created prototype because we would not be addressing any real world difficulties in managing data from possibly complex and secure data sources. We considered Ruby on Rails because it is open source, and it is based on MVC architecture. However, we did not have much prior experience with that technology, and with the tight deadlines it could have been a problem to complete in time. The biggest drawback with this fully web based design was that we would not have fine grained control over the size and quality of the images that would get captured from the camera and sent to the server. Furthermore, we couldn’t use the camera to detect barcodes from the video feed because at the moment, web applications cannot have control over device components.

The second design idea was to focus the prototype fully on extracting the license plate number
through OCR, and using that to lookup form information by querying a back end database. There were numerous challenges with that idea, and would have been quite complex to implement reliably. The challenges were that each state has different plate designs, getting a high quality picture that will yield accurate OCR results, and again the difficulty in gathering accurate data and schema. Furthermore, even though it would be an interesting research experiment to implement that idea, in reality it is not too difficult to type in six or seven characters of the license plate. And also considering that there are many license plate recognition systems available in the market, we would be re-inventing the wheel in a sense. Therefore, we abandoned this approach, and considered it a distant possibility if we had time left over after creating a basic prototype.

Finally, we decided to implement a system composed of a mobile application, back end web service, and a live incident reporting map. The mobile application would be the interface officers would use to collect data and transmit that data to the service. The service would parse out the incoming data, validate the data, and store that data in a database for retrieval and reporting purposes. The live incident reporting map is a tool for information consumers. The details of this system is presented in the sections below.

4.1.1 Application Architecture Overview

The application consists of three main components: an iPhone application, a Representational State Transfer (REST) based web service, and a live incident reporting map.

The client side application was used to gather location data (Street, City, and County), driver licenses of parties, Vehicle Identification Numbers (VINs) of the vehicles, and license plate number & state of the respective vehicles. This data was serialized to JSON format, and in particular the image files were compressed, and the byte data was converted to a Base 64 Encoded string to be
conformant with the JSON standard. The payload size of the message was directly proportional to the number of parties involved because more parties involved means more driver license images would need to be sent to the server.

The server side REST web service consumed the JSON payload from the incoming HTTP request, and converted it to a C# object through model-binding built-into the ASP.NET framework. This object was then used to validate form input, and to extract all the information needed to build the SR1 Form object. The resulting SR1 form object was stored directly in the MongoDB database.

The live incident reporting map made use of Google Maps API to render the map, and Microsoft’s ASP.NET SignalR library to add real-time web functionality. This would be a tool travelers can use to lookup ongoing traffic incidents.

4.1.2 Tools and Technologies

Git was used as the source control tool for this project. One of the main reasons for this choice was the availability of free code hosting platforms for Git, which reduced the need for us to spend time setting up a system that would allow collaboration. Both the iOS application and the back end web application source code were hosted on public repositories on github.com, which is a site that hosts git repositories, in order to collaborate with others that might be interested in contributing to this project. Github has many tools like issue tracking, code review, and wiki pages to help manage the project but those features were not used in this project.

Development on this project was done on an Apple computer because of the need for Mac OS in order to compile iOS applications. And a Windows 8 virtual machine was used to do development on the C# based web service. Visual Studio was the main tool used to develop, compile, and deploy the back end application. On the client side, the Appcelerator Titanium platform was used to create the application. Titanium Studio was the tool used to develop, compile, and deploy the iOS application. It is a free tool available for download from Appcelerator.

The iOS application was deployed and tested on an iPhone 5. The simulator was used during the development phase, and finally it was deployed on the phone for testing. TestFlight application was used to distribute the application to parties that were interested in trying out the application on their own phone. TestFlight is a free platform used to distribute beta and internal iOS applications, but it is not an alternative to the Apple AppStore [42]. Fiddler, which is an application that lets users send and analyze HTTP requests and responses, was used to test the web service with sample requests and payloads [43].
4.1.3 Client Side Mobile Application

The client side iOS application was built using Appcelerator Titanium mobile development platform. The main design pattern used to organize the code was the Model-View-Controller (MVC) pattern using the Alloy framework. Business logic code for the application was separated out from the user interface specific code, and into self-contained exportable CommonJS modules.

MVC is a common architectural pattern used to organize user interface code. When considering a given use case, models are the objects being operated on for that use case. For this application, the main model object was the form object, which contains all the data the client application collects to complete the SR1 form. The controllers contain code that processes the requests coming in from the user by way of user interface controls. An example of a controller used in this application was the location controller. It contained code to access the geo-location features of the device to get the location of the accident, and it also contained code to set the model state if the user manually changed the location information. The views contain code that renders user interface controls, and populating those controls with data if a corresponding model object is provided. An example of a view from the application was the location view (Figure 4.3). It contained controls to show a map to the user, collect location data from the user, and navigate back and forth between other views in the application. If the form model object already contained location information, that information was used to pre-populate values for the controls in the view.

```xml
<Alloy>
  <Window className="container" id='winLocation' title="Location">
    <ScrollView layout="vertical">
      <View id='mapview' ns="Ti.Map" width="320dp" height="260dp" top="0dp" onComplete="setRegion" animate="true" regionFit="true" userLocation="true" mapType="Ti.Map.STANDARD_TYPE"/>
    </View>
    <Label className='lblAddress' text='Street'/> <TextField id='txtStreet'/>
    <Label className='lblAddress' text='City'/> <TextField id='txtCity'/>
    <Label className='lblAddress' text='County'/> <TextField id='txtCounty'/>
    <Label id='btnNext' onClick='btnNext.onClick'><Label height='20dp'/> <Label height='20dp'/>
  </ScrollView>
</Window>
</Alloy>
```

Figure 4.3: Location View Markup.

Among the many benefits of the separation of responsibilities into modules were code reusability, testability, and reduced application startup time. Modular code allows us to reuse the functionality that is encapsulated in the modules throughout different use-cases of this particular application and also in other applications if we wish to do so. In particular, should we decide to extend our mobile
application to support other platforms (Android, Blackberry, or Tizen), we can create platform specific user-interfaces but still utilize the same modules. This re-use of code is the very strength of the Titanium platform because as more and more mobile devices and platforms enter the market it becomes increasingly strenuous and costly to develop and maintain platform specific application code. Since most applications have the same use-cases regardless of the platform, it becomes a necessity to ensure that the core business logic is mirrored across the different platforms. Testing of the business logic code becomes much easier because that code is separated out from the harder to test user-interface specific code. The user-interface specific code, having been separated out from the business logic, becomes less of a necessity to test because we can assume it is the obligation of the framework developer (Appcelerator Titanium) or the user interface controls developer (third party developer, or platform sdk provider) to test that code. An example of user-interface specific code is shown in Figure 4.4. It instantiates the backing controller for the view, and uses that to open the view. Since there is no application specific logic in there we can assume that it should work as specified by the framework developer. The modules were loaded just-in-time as requests come in through the controllers, thereby removing the need to load extraneous code at application startup, which in turn reduced the time for application’s initial startup. And once a user closed a view that used a module, that module was unloaded to preserve memory.

```javascript
var locationController = Alloy.createController('Location');
$.tabMain.open(locationController.getView());
```

Figure 4.4: Code snippet to open a new view.

Some modules were obtained through Appcelerator Open Mobile Marketplace, some were open source JavaScript modules obtained through Github, and others were application specific code that were refactored into modules. Appcelerator Open Mobile Marketplace is an exchange for application component producers and consumers. It includes both free and paid app modules, templates, design elements, and cloud extensions [44]. The RedLaser Barcode Scanner module was one that was downloaded through Appcelerator Open Mobile Marketplace. It is a module that is provided free of charge by Appcelerator, but it does have limited use restrictions for the free version. As of this writing, the limit was 25 scans per device and application combination. However, this was sufficient for proof of concept and testing purposes. Moment.js was an open source JavaScript library that was obtained through Github. It provides functionality as far as parsing, manipulating, and formatting dates [45]. In this application it was used to format the dates to a more readable format. ServiceAgent.js is a module that was created specifically for this application to encapsulate the logic in communicating with the web service, and to provide the business logic code an interface to access the web service.
4.1.4 Server Side Web Service

The server side RESTful web service was built using Microsoft ASP.NET Web API platform. This web service was used to accept data sent from client applications in JSON format, and using that information to generate the complete SR1 form for storage and post processing.

The Web API based service uses REST principles when processing the requests. The requests come in the form of a URI, a verb (GET, PUT, POST, DELETE), and a set of HTTP headers. The endpoint URI identifies the resource being operated on. In the NDOT application the endpoint URI was http://ndot.azurewebsites.net/api/sr1form, in which case http://ndot.azurewebsites.net/api/ is the base URI and /sr1form is the resource being operated on. GET requests were used to read previously submitted forms that were stored in MongoDB. HTTP basic authentication was used to prevent unauthorized access to the data. This authentication scheme simply sends the username and password, base 64 encoded, in the Authorization HTTP header. It has to be used along with SSL enabled to prevent others from decoding the username and password. POST requests were used to send form data from the iOS client application to the service. The service was stateless, meaning that the server does not store context in between requests and that all the data needed to process the request is being sent by the client on each request. HTTP status codes were used in responses back to the caller to indicate the result of processing the request. For example, if the client did not send required information to the service,
the service would respond with a status code of 412 Precondition Failed. If the server successfully processed a POST request to the sr1form endpoint, a 201 Created status code was sent.

```csharp
public class Sr1ClientFormData
{
    public string Street { get; set; }
    public string City { get; set; }
    public string County { get; set; }
    public List<ClientActor> Actors { get; set; }
}
```

The model object accepted by the server side application is shown in Figure 4.7. It contains the geographical data where the car accident took place, and a list of actors (parties that were involved in the accident). The service was able to deserialize the incoming JSON to the above model object using the technique of model-binding built into Web API. The web service first goes through a process known as content negotiation, where it looks at the incoming HTTP request and determines how best to parse that information. If the request explicitly contains a Content-Type header, and specifies an acceptable media type, then the server tries to make use of that information provided that a corresponding MediaTypeFormatter is available. If no content-type was provided by the client, then the server looks at the request body and attempts to pick a suitable MediaTypeFormatter to parse the content. By default, the service is able to parse data
coming in as JSON, XML, or URL-encoded form data and build an object, but it is also possible
to define custom media type formats and custom model binding logic. For the default JSON model
binding to work, the keys of JSON fields must match the field names of the C# object.

Once the service has a Sr1ClientFormData object to work with, it goes through that object
and builds a corresponding Sr1FormData object which contains all the information needed for
the form. It loops through the list of ClientActor objects of the incoming object, and looks up
additional information as necessary to build the list of Actor objects of the Sr1FormData object.

Vehicle information was looked up using the Edmunds Vehicle API. Edmunds Vehicle API is
provided free for developers, but it is necessary to register with them in order to get an API key
for development. This API is also rate limited to two requests per second and 5,000 requests per
day, but for our demo purposes this was not an issue. Request was made to their API with the
VIN number provided from the client, along with the API key, and format equal to JSON. The
resulting JSON was parsed to get the vehicle’s make, model, and year.

Driver information was looked up by decoding the driver license barcode image sent by the
client. The image comes into the service as a base 64 encoded string, therefore it was first base
64 decoded, and the resulting byte array was converted to an Image object. This image was then
passed to the barcode reader module provided by the ZXing.net barcode reading library. The
search was scoped by specifying that the expected format is PDF 417. ZXing is an open source
library that is able to process images containing barcodes and decode the text that the barcode
represents. It currently supports virtually all major barcode formats including CODE 39 which was
used for decoding the VIN barcode, and PDF 417 which was used for decoding the driver license
barcode image. This project also provides implementations for all major programming languages.
For this application, the .NET port of the project was used. It was provided as a NuGet package,
therefore including it in the application was easy.

Once the driver license barcode image was decoded by the ZXing library, the text contained in
the barcode was obtained. However, the text requires further decoding as it was in a format de-
dsigned by American Association of Motor Vehicle Administrators (AAMVA) [46]. Figure 4.8 shows
an example of the text returned from the ZXing library. Here the card holder’s real information
was changed for confidentiality but the format is preserved.

The data elements are in separate lines beginning with an element ID. The AAMVA specifica-
tion gives further detail as far as how each data element is formatted. The parsing was done by
splitting the string by the end-of-line delimiter to get a list of strings. And then the element IDs
were searched to get the field information. For example, the element ID DBB corresponds to Date
of Birth of the card holder. Sometime a single element contains multiple data fields, in which case
additional parsing steps were required.

One of the big obstacles in creating this application was that the driver license barcode image
Figure 4.8: NV Driver License barcode encoding.

has to be within +/- 5 degree skew. In practical use, this was very difficult to achieve. After many trials and errors it was possible to get a few images that were capable of being decoded. It was necessary to use a stand to hold up the phone, and line up the driver license against an edge to get images that would decode successfully. Furthermore, on the back of the Nevada driver license, there are two barcodes, and the image submitted to be processed should be cropped to only include the 2D PDF-417 barcode so that the ZXing library can successfully decode the barcode. The built in editing functionality provided by the Apple SDK was sufficient to crop the image. Additionally the camera needs to be well focused on the barcode to avoid blurry images, which also causes the decoding to fail.

Unit tests were written to ensure that certain functionality work as expected. A unit test was written to ensure that a sample Nevada driver license barcode could be parsed to extract driver information. A unit test was written to ensure that for a known VIN, the Edmunds API returned correct data. Unit tests were written to verify the incoming form had required data: city, street, county, and at least one actor. The web service endpoint as a whole was tested against mock HTTP requests to verify that the response was acceptable. This was achieved by setting up context for the request, mocking the dependencies required by the controller, getting the response, and asserting that the status code was as we expected. An example of such a test is shown in Figure 4.9, and it checks that an acceptable client request succeeds and returns a 201 Created status code.
[TestMethod]
public void GivenValidClientDataGeneratesValidSr1Form()
{
    // Arrange
    var config = new HttpConfiguration();
    var request = new HttpRequestMessage(HttpMethod.Post, "http://localhost/api/sr1form");
    var route = config.Routes.MapHttpRoute("DefaultApi", "api/{controller}/{id}");
    var routeData = new HttpRouteData(route, new HttpRouteValueDictionary({{"controller", "sr1form"}}));
    var mockRepo = new Mock<IRepository<Sr1FormData>>();
    mockRepo.Setup(c => c.Add(It.IsAny<Sr1FormData>())).Callback<Sr1FormData>(c => c.Id = "123");
    var mockEdmundsApi = new Mock<IEdmundsApiAgent>();
    mockEdmundsApi.Setup(c => c.GetVinData(It.IsAny<string>())).Returns(new VinApiData
    {
        Year = "2003",
        Make = "Nissan",
        BodyType = "Car"
    });
    var controller = new Sr1FormController(_logger, mockRepo.Object, mockEdmundsApi.Object);
    controller.ControllerContext = new HttpControllerContext(config, routeData, request);
    controller.Request = request;
    // Act
    var result = controller.Post(GetValidSr1ClientFormData());
    // Assert
    Assert.AreEqual(HttpStatusCode.Created, result.StatusCode);
}
The service was deployed on Microsoft’s Azure cloud platform. Azure offers developers a platform to deploy their application infrastructure on Microsoft’s globally distributed data centers. The platform supports numerous programming languages, and provides access to Microsoft developed services and third party services to help developers get their applications done faster and in a more scalable way [47]. Azure also has good integration with source control systems like Git and Team Foundation Server, and offers the ability to rollback to previous versions. The deployment to Azure was done from Visual Studio through the Web Deploy utility. The site to host the application was first created through the Azure management portal, then the publish profile for the corresponding site was imported to Visual Studio to provide the integration between the Azure account and the Visual Studio solution. Once the integration is established, the publish wizard in Visual Studio guides the user through the deployment steps. Azure’s a pay-as-you go model allows for flexibility and cost savings because the amount charged is proportional to the resource usage of the service. Due to the free credits offered by Microsoft, and the low usage of this service, there were no costs associated with it.

The MongoDB database, used for storing the form data, was hosted on Azure through the third party service provider MongoLab. They offer a shared plan that is free to use for testing purposes and includes half a GB of storage. Therefore, it was used for this application and was quite sufficient. MongoDB was a natural fit for storing the form data because each form could be stored as a single document in MongoDB without needing to define a complex database schema. In particular the model object that was used in the application code could be serialized and stored directly without needing to map the model properties to specific tables and columns. Data access layer code was minimal in this case because the MongoDB C# driver, and the generic IRepository interface were adequate at interacting with the database. Querying form data is also simplified because the data does not have to be reassembled by joining across relational tables. The MongoDB C# driver is able to take C# Language Integrated Query (LINQ) code and convert that to matching MongoDB queries. Therefore there was no need to write MongoDB specific queries within the application code to retrieve data from MongoDB.
4.1.5 Live Incident Reports Map

A live incident reporting map interface, Figure 4.10, was created for travelers and back office personnel to get real time updates on incidents as they happen. This interface is accessible at http://ndot.azurewebsites.net/, and it shows incidents reported from the client mobile application and basic information about each incident when the user clicks on the marker.

![Live incident reports map on a mobile phone.](image)

Google Maps JavaScript API was used to map the incident locations on a map. The Google Maps JavaScript API is free for limited usage, but it does require the developer to obtain an API key [48]. The API itself is very simple and straightforward to use, and there are good examples and documentation on it. The basic use case of it requires a HTML div element to render the map, a reference to their cloud hosted JavaScript source, and initialization of a map object in JavaScript at a specified latitude, longitude and zoom level. In addition to the basic map, this application made use of markers and info windows to display incident information. Markers identify a location on a map given a latitude, longitude and a reference to the map JavaScript object. It was also possible to add an animation to the marker when it first gets added to the map. The info window
feature allows the user to see more detail when its corresponding marker is clicked. In this case info windows were used to show the street, date, and actor count of the incident.

Real time updates were made possible using Microsoft’s ASP.NET SignalR library. SignalR makes it really easy for .NET developers to add real-time functionality to ASP.NET based web applications. Instead of the client having to poll the server for updates using AJAX requests, the server is able to push data to the connected clients right away. SignalR abstracts away the various means of achieving bi-directional communication between the client and the server (websockets, long-polling, server sent events, forever frame), and gives the developer a high level API for doing Remote Procedure Calls (RPC) from the server to the client [49]. The server can do the RPC calls on either a single-recipient, on a group, or on all clients. For this application, a broadcast style call to all the connected clients was used because all the users are allowed to see all the incidents. A “hub” was defined in the server side code as that is the high level abstraction SignalR uses to manage the communication between the client and the server. After the original form data was processed and stored in MongoDB, the server could notify the client of the new incident by using code shown in Figure 4.11.

```javascript
var hubContext =
    GlobalHost.ConnectionManager.GetHubContext<IncidentsHub>();
hubContext.Clients.All.addNewMarkerToPage(form.Latitude, form.Longitude,
    form.Street, form.CreatedDate.ToString(), form.Actors.Count);
```

Figure 4.11: Code snippet showing server calling JavaScript client method.
4.2 Test Setup

The application was put through a series of testing scenarios to see how it compares against the paper form entry. The main goal of this experimentation was to test the speed of completing the form, comparing the mobile application to the paper form. The independent variable in these cases was the method of input (mobile application or paper form). The dependent variable was the time to completion measured in seconds. Three separate trial runs were conducted, each involving one, two, and three actors respectively. Many variables were kept constant throughout the trial runs including location of incident, actor’s driver licenses, and actor’s vehicle identification numbers. The mobile device was connected to a local wireless endpoint with 3 Mbps download speed, and 768 Kbps upload speed to communicate with the web service hosted on Azure. All the data needed to complete the form was available before beginning to complete the form. The vehicle identification number barcodes for three vehicles were printed out ahead of time, rather than scanning it from the vehicles.

The main difficulty in running the experimentation was the difficulty in capturing the driver license barcode sufficiently enough for the decoding software to work properly, but also not to let the file size be too large as to slow down the process. And another difficulty was the requirement that the barcode has to be almost perfectly horizontal. The AAMVA North American Standard for driver licenses specify that the skew shall not be more than +/- 5 degrees [46]. To get around these limitations various methods were tested. One was to use a barcode scanning module that was marketed as being able to read the PDF417 symbol right from the camera feed. This would have meant that we could transfer the text that was encoded in the barcode instead of the actual image to the server. However, in practice that module did not work. Second, an overlay view with a rectangle image capture area was put on top of the camera view with the aim that it would allow the user to capture a more horizontal image. However, in practice this still produced a lot of unusable image captures. Finally it was decided to use a stand to line up the barcode horizontally, test that those images were usable by the barcode decoder, and use those images for the experiment.

Ideally we should have been able to run the experiments on real world scenarios, and by the actual end users. However, it was not possible for this prototype application for a number of reasons. First, as mentioned earlier the difficulty in capturing a processable driver license barcode would have been very frustrating in real use. Second, since the form data is hosted on a third party server beyond the control of NDOT, there would have been privacy concerns in using the application. Third, there would have been difficulty in distributing the application because of the need to have the application approved through Apple and published on the Apple App Store. Lastly, there was a limitation on the RedLaser module usage, which is limited to non-commercial...
4.3 Test Results

In the first test scenario, Table 4.1, the incident involved two actors, which is expected to be the most common usage of the application. The owner of the vehicle was selected to be same as the driver, which removed the need for ten fields. The actor type “Driver” was selected for both actors.

<table>
<thead>
<tr>
<th>Method</th>
<th>Trial 1(s)</th>
<th>Trial 2(s)</th>
<th>Trial 3(s)</th>
<th>Average(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paper Form</td>
<td>315</td>
<td>258</td>
<td>230</td>
<td>267</td>
</tr>
<tr>
<td>Mobile App</td>
<td>110</td>
<td>122</td>
<td>98</td>
<td>110</td>
</tr>
<tr>
<td>Paper Form + Electronic Data Entry</td>
<td>456</td>
<td>380</td>
<td>343</td>
<td>393</td>
</tr>
</tbody>
</table>

The following experiment, Table 4.2, was similar to the previous experiment, with the goal here being to see the impact of an additional actor on the form completion time. The additional actor was also “Driver” type, and the owner was selected to be the same as driver.

<table>
<thead>
<tr>
<th>Method</th>
<th>Trial 1(s)</th>
<th>Trial 2(s)</th>
<th>Trial 3(s)</th>
<th>Average(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paper Form</td>
<td>425</td>
<td>361</td>
<td>342</td>
<td>376</td>
</tr>
<tr>
<td>Mobile App</td>
<td>164</td>
<td>139</td>
<td>131</td>
<td>144</td>
</tr>
<tr>
<td>Paper Form + Electronic Data Entry</td>
<td>509</td>
<td>404</td>
<td>390</td>
<td>434</td>
</tr>
</tbody>
</table>

For this last experiment, Table 4.3, the goal was to see how manual data entry on a mobile device fared against filling out the paper form. The mobile device still picked up location information using the geolocation features, therefore it was not necessary to fill out three of the fields (Street, City, County). The geolocation picked up the correct location on all three trials, therefore it was not necessary to make corrections to those fields. There were a total of twelve fields to be filled out in this case. In the mobile application, the data was entered manually rather than using the driver license barcode to extract the data.

<table>
<thead>
<tr>
<th>Method</th>
<th>Trial 1(s)</th>
<th>Trial 2(s)</th>
<th>Trial 3(s)</th>
<th>Average(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paper Form</td>
<td>68</td>
<td>57</td>
<td>76</td>
<td>67</td>
</tr>
<tr>
<td>Mobile App - Manual Data Entry</td>
<td>62</td>
<td>71</td>
<td>62</td>
<td>65</td>
</tr>
<tr>
<td>Paper Form + Electronic Data Entry</td>
<td>108</td>
<td>88</td>
<td>105</td>
<td>100</td>
</tr>
</tbody>
</table>
4.4 Analysis

In Figure 4.12 we see a visualization of the experiment involving two actors. Across all the trials, we see that the mobile application was faster at filling out the form than the paper form, and the paper form with electronic data entry. By looking at the average time, the paper form took 2.43x longer to complete than the mobile application form, and the paper form with electronic data entry took 3.57x longer to complete. The experimental data supports the hypothesis that the mobile application would be faster at completing the form. Interestingly, we see that with both the paper form and paper form with electronic data entry that the time to complete got reduced with each new trial. One possible reason for this is that the experimenter got more familiar with the data after completing each trial, and that reduced the number of times he needed to check back with the data source to do the data entry. On the other hand, the completion time for the mobile application was very stable across the three trials.

![Data Entry Medium vs Completion Time (2 Actors)](image)

Figure 4.12: Chart showing data entry method vs completion time. Data from table 4.1.

The pie chart on Figure 4.13 is a visualization of the average completion time for the experiment involving three actors. There we get a sense of how much more efficient the mobile application is compared to the other two methods. The paper form took 2.61x longer to complete, and the paper form with electronic data entry took 3.01x longer to complete. Compared to the previous experiment involving two actors, the paper form took 40% longer to complete, while the mobile application took 31.5% longer to complete. This is close to the expected value, since the number of actors increased by 50%, but the mobile application’s performance was outstanding per each
The results from the third experiment in table 4.3 shows that the time to form completion is about equal between the mobile application and the paper form. This suggests that even in the worst case, where no data extraction from barcode data is done, the mobile application is worth considering. However, the advantage in the mobile application comes when compared to the paper form with electronic data entry because the mobile application can electronically post the resulting form data instantly. In fact the mobile application was 65% faster compared to paper form with electronic data entry.

4.5 Future Works

It would have been possible to extract the license plate information using OCR on the license plate image. There is an open source OCR library, tesseract-ocr, that could have been used for this purpose [50]. It was originally developed at HP Labs between 1985 and 1995, and was one of the top three OCR engines in the 1995 UNLV accuracy test. Since then the project was taken over by Google and has been improved. One challenge here would be in honing in on the plate number and state specifically when there are many stickers and fonts on the license plate. Also capturing a good image in unfavorable weather conditions, lighting conditions, and angles would make it quite a challenge.

Furthermore, the application could be further developed to integrate with existing IT systems at the NDOT. Officers could log into the application using their existing network credentials, and
the application could then file the form directly to NDOT’s database systems using the logged in
identity. The license plate’s state could also have been looked up based on license plate number
if the application had access to that information from NDOT or the state Department of Motor
Vehicles (DMV). And also driver and owner information could have been filled in by searching for
the specific record against a database instead of extracting that information from the driver license
barcode.

The accuracy of the driver license barcode capture needs to be dramatically improved if this
application is to be used in practice. Perhaps a stand could be developed to place the driver
license and the phone at a good distance and angle so that the barcode image can be captured
more reliably. Another solution would be to move the decoding logic from the server side to the
phone itself, and use a more reliable commercially available PDF-417 decoding library.

In practice, poor network connectivity would also be a barrier to adoption for the application.
The application could be enhanced to support local storage such that if there is no network
connection, the data would be stored to the local SQLite database on the device, and then upload
the data once a connection is re-established. Storing the data locally first before transmitting to
the server has the added benefit of being more reliable in that it can keep retrying if there were
errors during transmission.
In this paper, we explored many different ways of using mobile devices to collect transportation data, and using that data to ultimately improve transportation scenarios. The rapid increase in smartphone usage by travelers means that it is becoming a viable and exciting source for data collection at a global scale in a cost effective way. Even regular phones have become a good source for travel time data collection due to new legislation and infrastructure changes that makes it possible to locate phones very accurately. This is an exciting and growing area as we see organizations from the public sector, private sector, and academia are contributing to research and development of novel techniques and products. As smartphones continue to drop in price, increase in the number of sensors, and also become more accurate in their sensing capabilities, we can expect to see more interesting projects and innovative solutions.

The GPS sensor on the smartphone along with network communication capabilities of the phone have been the focal point around much of the research and innovation. The Mobile Millennium project and Google Traffic Estimates both use these sensors and capabilities to full effect by collecting the GPS data, processing it through online algorithms, and presenting the resulting traffic estimates back to the users. Even though these innovations stand to give consumers great benefit, there are privacy concerns for the users willing to share the data. Some of these concerns are alleviated by removing user identification information from incoming requests, and also by using spatial sampling techniques. We also saw some case studies making use of the accelerometer, microphone, and Wi-Fi sensor on the smartphone. These sensors consume much less power than the GPS sensor, making them more desirable because reduced battery life drainage means more users would be willing to participate in collecting and sharing the data. It was also possible to use these low power sensors to trigger the high power GPS sensor, which would save power compared to using the GPS sensor exclusively.

We reported on some novel case studies involving the use of smartphones to detect road condi-
tions, and provide valuable data to end users. Nericell, ParkSense, and bus arrival time prediction projects were all conceived and implemented in academia. These projects show great promise in real world use, but we see that they have to move beyond academia and integrate with existing or new commercial products to be viable for continued use by the users. The Mobile Millennium project for example was one of the first major projects that explored the use of smartphone GPS data to estimate traffic conditions, but eventually the stakeholders were not able to maintain it for long term without revenue from the product. The same technology integrated with Google Maps to provide traffic estimates continues to be of great service to end users and available for continued use. The ParkSense application could be integrated with existing pay-by-phone providers to locate available parking spots, thereby providing convenience to end users, increasing revenue for the pay-by-phone provider, and also increasing revenue for the parking space owners.

Lastly we reported on our implementation of a smart data collection application for the purpose of collecting data at accident scenes. An iPhone application was developed using Appcelerator Titanium mobile development platform which would extract data from various sources and submit to a web service. The web service, which was developed using Microsoft’s ASP.NET Web API platform, accepted the data from mobile clients, extrapolated data through decoding driver license barcodes and looking up vehicle information through an external API, and finally produced the necessary form. The form was saved in a MongoDB collection for this prototype application, but it is feasible to electronically submit the form to appropriate authorities. The goal in creating this application was to reduce the time taken to complete the form while also simplifying the process and getting more accurate data. The experiments done in comparing the mobile application to the paper form showed that the mobile application was indeed much faster than the paper form. The whole process was also simplified because we cut down on the steps needed to convert the paper form to electronic format. The reliability of the data collected could not be verified because we were not able to compare the application against the existing method side-by-side in real world use. Even though the experimental results were promising, there are a lot of obstacles to overcome in order to make this prototype project viable for practical use.
Appendix A

NDOT Application Screenshots

Figure A.1: Home screen of the app, start point for the SR1 form.
Figure A.2: Location screen where the user inputs location of the incident.

Figure A.3: Actors screen showing all of the actors involved in the traffic incident.
Figure A.4: Actor detail screen where the user inputs details of the actor.

Figure A.5: User can manually input details of the actor as well.
Figure A.6: History screen showing a summary of previously submitted form data.

Figure A.7: History detail screen showing the full form data.
Appendix B

NDOT Application Source Code

The source code for the iOS application is available for download at https://github.com/simplecoder/ndot-client and it requires Titanium Studio to develop, compile, and deploy. During development, Titanium SDK 3.1.3.GA and iOS 7.0 SDK was used build the project. It may or may not be compatible with future SDK versions.

The source code for the web service is available for download at https://github.com/simplecoder/ndot-service and it requires Visual Studio in order to develop, compile, and deploy. In particular, Visual Studio 2012 was used along with .NET 4.5 and IIS Express during development.
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48


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Vita

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Thesis Title: Smart Data Collection Using Mobile Devices to Improve Transportation Systems

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